

AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Risk-Based Internal Audit Planning

5 days | Classroom training | English

SMART HORIZON

info@smart-horizon.eu
2 Kingdom Street, Paddington
London W2 6BD, United Kingdom

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Course content

Why attend

Internal audit adds most value when it focuses on the organisation's most significant risks. This course teaches risk-based audit planning: understanding strategy and objectives, building the audit universe, assessing risk, using the risk register and other assurance, prioritising audits, resourcing the plan and gaining audit committee approval.

A cyclical, compliance-driven audit plan misses emerging risks. You will learn to build a dynamic plan aligned with what matters most.

Professional Problems This Course Is Designed to Solve

• Difficulty translating subject knowledge into practical workplace decisions.
• Inconsistent approaches to the activities covered in the course outline.
• Lack of a structured plan for applying and reviewing new skills.

Course methodology

Audit universe workshops, risk scoring exercises, plan presentation practice and case studies.

Course objectives

By the end of this course, participants will be able to:
• Link audit planning to strategy and risk
• Build and maintain the audit universe
• Assess and prioritise auditable areas
• Coordinate with other assurance providers
• Resource and schedule the audit plan
• Present and update the plan with the audit committee

Target audience

This course is suitable for:
• Heads of internal audit
• Audit managers
• Senior internal auditors
• Risk managers
• Audit committee members



Course content

Target competencies

Participants will develop competencies in:

- Audit universe design
- Risk assessment
- Prioritisation
- Resource planning
- Committee communication

Course outline

Day 1: Risk-Based Auditing

09:00–10:08	Purpose and standards
10:08–11:00	Strategy and objectives
11:00–11:30	**Coffee break**
11:30–11:45	Strategy and objectives (continued)
11:45–12:53	Current plan review
12:53–14:00	Exercise

Day 2: Audit Universe

09:00–10:08	Defining auditable units
10:08–11:00	Data sources
11:00–11:30	**Coffee break**
11:30–11:45	Data sources (continued)
11:45–12:53	Maintaining the universe
12:53–14:00	Workshop

Day 3: Risk Assessment

09:00–10:08	Risk factors
10:08–11:00	Scoring
11:00–11:30	**Coffee break**



Course content

11:30–11:45	Scoring (continued)
11:45–12:53	Using the risk register
12:53–14:00	Exercise

Day 4: Building the Plan

09:00–10:08	Prioritisation
10:08–11:00	Resources and skills
11:00–11:30	**Coffee break**
11:30–11:45	Resources and skills (continued)
11:45–12:53	Flexible planning
12:53–14:00	Case study

Day 5: Approval and Updates

09:00–10:08	Presenting to committee
10:08–11:00	Plan changes
11:00–11:30	**Coffee break**
11:30–11:45	Plan changes (continued)
11:45–12:53	Reporting progress
12:53–14:00	Action plan

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.



Course content

Dates, venues and fees

Current published course plans. Fees are per participant in GBP, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (GBP)
Cape Town Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,890.–**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,250.–**
London Confirmed dates	14 Dec 2026	18 Dec 2026	**£2,450.–**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**£1,250.–**
Rome Confirmed dates	11 Jan 2027	15 Jan 2027	**£2,450.–**
Online Confirmed dates	11 Jan 2027	15 Jan 2027	**£1,250.–**
Dubai Confirmed dates	1 Feb 2027	5 Feb 2027	**£3,150.–**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**£1,250.–**
Barcelona Confirmed dates	22 Feb 2027	26 Feb 2027	**£2,840.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**£1,250.–**
Dubai Confirmed dates	15 Mar 2027	19 Mar 2027	**£3,150.–**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**£1,250.–**
Cape Town Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,890.–**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,250.–**
Rome Confirmed dates	19 Apr 2027	23 Apr 2027	**£2,450.–**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,250.–**
Istanbul Confirmed dates	10 May 2027	14 May 2027	**£2,650.–**
Online Confirmed dates	10 May 2027	14 May 2027	**£1,250.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Cape Town Confirmed dates	31 May 2027	4 Jun 2027	**£1,890.–**
Online Confirmed dates	31 May 2027	4 Jun 2027	**£1,250.–**
Paris Confirmed dates	21 Jun 2027	25 Jun 2027	**£2,860.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**£1,250.–**
Vienna Confirmed dates	12 Jul 2027	16 Jul 2027	**£2,940.–**
Online Confirmed dates	12 Jul 2027	16 Jul 2027	**£1,250.–**
Amsterdam Confirmed dates	26 Jul 2027	30 Jul 2027	**£2,540.–**
Online Confirmed dates	26 Jul 2027	30 Jul 2027	**£1,250.–**
Barcelona Confirmed dates	16 Aug 2027	20 Aug 2027	**£2,840.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,250.-**
Kuala Lumpur Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,450.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,250.-**
Dubai Confirmed dates	27 Sept 2027	1 Oct 2027	**£3,150.-**
Online Confirmed dates	27 Sept 2027	1 Oct 2027	**£1,250.-**
Frankfurt Confirmed dates	18 Oct 2027	22 Oct 2027	**£2,650.-**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**£1,250.-**
London Confirmed dates	1 Nov 2027	5 Nov 2027	**£2,450.-**
Online Confirmed dates	1 Nov 2027	5 Nov 2027	**£1,250.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Rome Confirmed dates	22 Nov 2027	26 Nov 2027	**£2,450.-**
Online Confirmed dates	22 Nov 2027	26 Nov 2027	**£1,250.-**
Vienna Confirmed dates	13 Dec 2027	17 Dec 2027	**£2,940.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon UK certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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