

AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Continuous Auditing and Monitoring

5 days | Classroom training | English

SMART HORIZON

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Course content

Why attend

Continuous auditing uses automated tests to assess risks and controls as transactions occur. This course explains continuous auditing and monitoring: selecting areas, designing automated tests, data access, thresholds and alerts, handling exceptions, coordinating with management monitoring and scaling the programme.

Periodic audits miss issues between reviews. You will learn to provide timely, ongoing assurance.

Professional Problems This Course Is Designed to Solve

• Difficulty translating subject knowledge into practical workplace decisions.
• Inconsistent approaches to the activities covered in the course outline.
• Lack of a structured plan for applying and reviewing new skills.

Course methodology

Test design workshops, analytics demonstrations, case studies and programme planning.

Course objectives

By the end of this course, participants will be able to:
• Distinguish continuous auditing from continuous monitoring
• Select processes for continuous testing
• Design automated tests and thresholds
• Manage data access and refresh
• Handle and follow up exceptions
• Scale a continuous auditing programme

Target audience

This course is suitable for:
• Internal audit managers
• Data and IT auditors
• Risk and compliance analysts
• Finance controllers
• Audit leaders



Course content

Target competencies

Participants will develop competencies in:

- Test design
- Automation
- Exception management
- Data access
- Programme scaling

Course outline

Day 1: Concepts

09:00–10:08	Continuous auditing and monitoring
10:08–11:00	Benefits
11:00–11:30	**Coffee break**
11:30–11:45	Benefits (continued)
11:45–12:53	Maturity
12:53–14:00	Exercise

Day 2: Selecting Areas

09:00–10:08	Risk-based selection
10:08–11:00	Data availability
11:00–11:30	**Coffee break**
11:30–11:45	Data availability (continued)
11:45–12:53	Quick wins
12:53–14:00	Workshop

Day 3: Designing Tests

09:00–10:08	Rules and thresholds
10:08–11:00	Tools
11:00–11:30	**Coffee break**



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11:30–11:45	Tools (continued)
11:45–12:53	Alerts
12:53–14:00	Exercise

Day 4: Exceptions

09:00–10:08	Triage
10:08–11:00	Management follow-up
11:00–11:30	**Coffee break**
11:30–11:45	Management follow-up (continued)
11:45–12:53	False positives
12:53–14:00	Case study

Day 5: Scaling

09:00–10:08	Governance
10:08–11:00	Skills
11:00–11:30	**Coffee break**
11:30–11:45	Skills (continued)
11:45–12:53	Roadmap
12:53–14:00	Action plan

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.



Course content

Dates, venues and fees

Current published course plans. Fees are per participant in GBP, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (GBP)
Paris Confirmed dates	23 Nov 2026	27 Nov 2026	**£2,860.–**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,250.–**
Barcelona Confirmed dates	14 Dec 2026	18 Dec 2026	**£2,840.–**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**£1,250.–**
Istanbul Confirmed dates	18 Jan 2027	22 Jan 2027	**£2,650.–**
Online Confirmed dates	18 Jan 2027	22 Jan 2027	**£1,250.–**
London Confirmed dates	1 Feb 2027	5 Feb 2027	**£2,450.–**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**£1,250.–**
Cape Town Confirmed dates	22 Feb 2027	26 Feb 2027	**£1,890.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**£1,250.–**
Rome Confirmed dates	15 Mar 2027	19 Mar 2027	**£2,450.–**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**£1,250.–**
Cape Town Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,890.–**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,250.–**
London Confirmed dates	26 Apr 2027	30 Apr 2027	**£2,450.–**
Online Confirmed dates	26 Apr 2027	30 Apr 2027	**£1,250.–**
Frankfurt Confirmed dates	10 May 2027	14 May 2027	**£2,650.–**
Online Confirmed dates	10 May 2027	14 May 2027	**£1,250.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Rome Confirmed dates	31 May 2027	4 Jun 2027	**£2,450.–**
Online Confirmed dates	31 May 2027	4 Jun 2027	**£1,250.–**
Vienna Confirmed dates	21 Jun 2027	25 Jun 2027	**£2,940.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**£1,250.–**
Dubai Confirmed dates	12 Jul 2027	16 Jul 2027	**£3,150.–**
Online Confirmed dates	12 Jul 2027	16 Jul 2027	**£1,250.–**
Rome Confirmed dates	2 Aug 2027	6 Aug 2027	**£2,450.–**
Online Confirmed dates	2 Aug 2027	6 Aug 2027	**£1,250.–**
Dubai Confirmed dates	16 Aug 2027	20 Aug 2027	**£3,150.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,250.-**
Vienna Confirmed dates	6 Sept 2027	10 Sept 2027	**£2,940.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,250.-**
Barcelona Confirmed dates	27 Sept 2027	1 Oct 2027	**£2,840.-**
Online Confirmed dates	27 Sept 2027	1 Oct 2027	**£1,250.-**
Dubai Confirmed dates	18 Oct 2027	22 Oct 2027	**£3,150.-**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**£1,250.-**
Kuala Lumpur Confirmed dates	8 Nov 2027	12 Nov 2027	**£1,450.-**
Online Confirmed dates	8 Nov 2027	12 Nov 2027	**£1,250.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Cape Town Confirmed dates	22 Nov 2027	26 Nov 2027	**£1,890.-**
Online Confirmed dates	22 Nov 2027	26 Nov 2027	**£1,250.-**
Amsterdam Confirmed dates	13 Dec 2027	17 Dec 2027	**£2,540.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon UK certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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View the latest course details online