

AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Certified Governance Professional

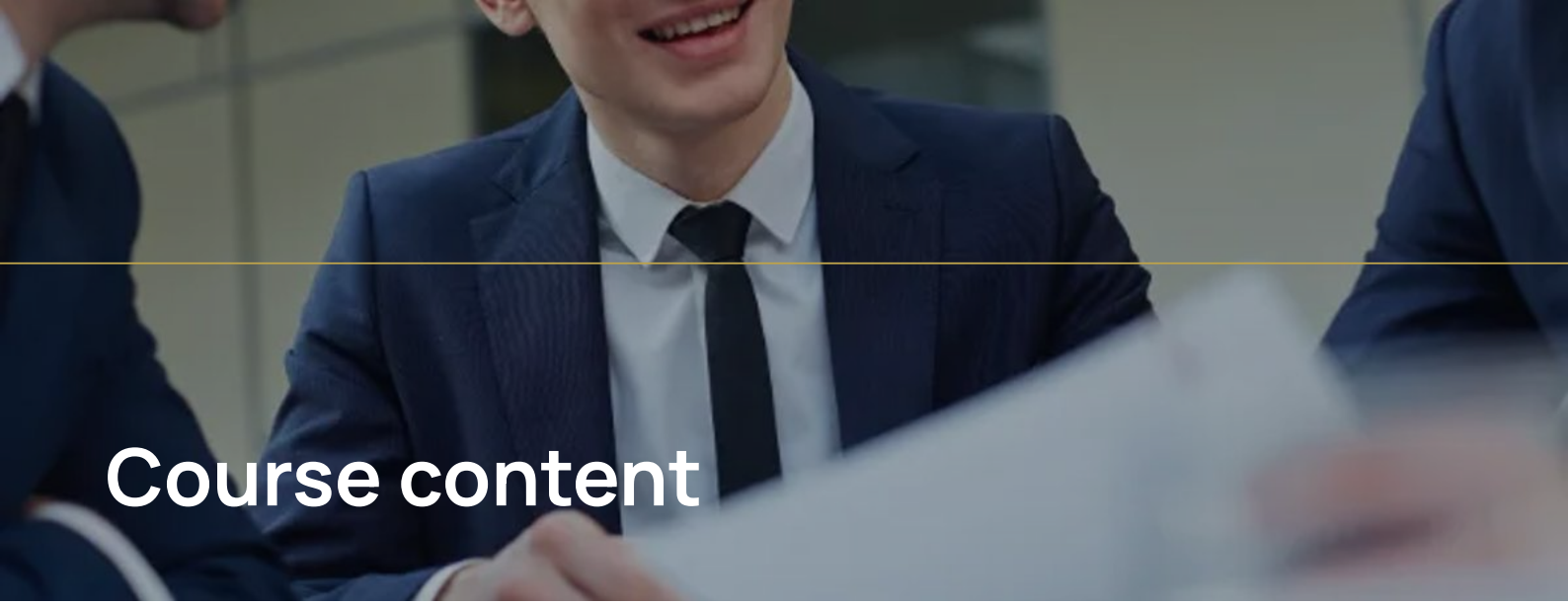
5 days | Classroom training | English

SMART HORIZON

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London W2 6BD, United Kingdom

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Course content

Why attend

This course equips participants with the essential skills and knowledge to excel as Certified Governance Professionals. It covers governance frameworks, compliance, risk management, and stakeholder engagement, enabling professionals to enhance organisational effectiveness and accountability in their governance roles.

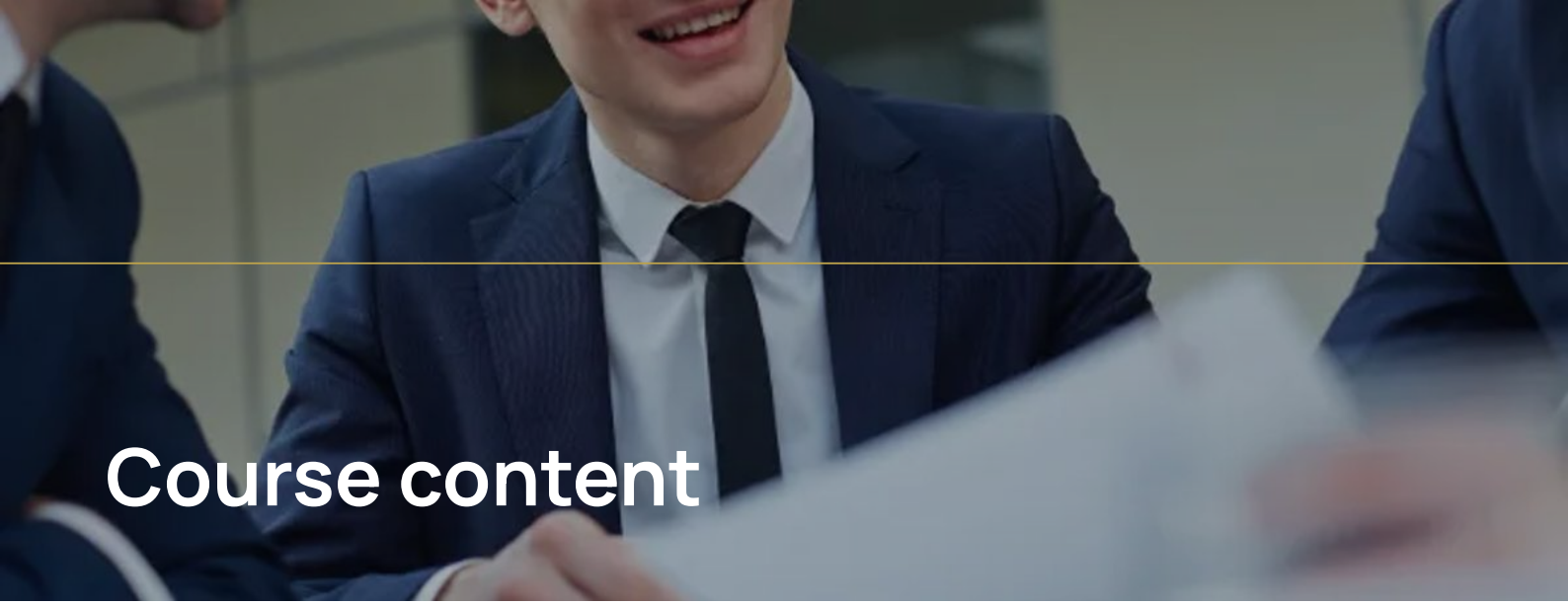
Governance professionals face increasing scrutiny regarding compliance and ethical standards. Understanding governance frameworks and best practices is crucial for ensuring organisational integrity and accountability. This course addresses the complexities of governance structures, enabling participants to navigate regulatory challenges effectively. By mastering these frameworks, professionals can make informed decisions that align with organisational goals, ultimately enhancing their value within their organisations. The course also emphasises the importance of ethical governance, which is essential for maintaining stakeholder trust and fostering a positive organisational culture. Participants will learn to identify governance risks and develop strategies to mitigate them, ensuring compliance and ethical conduct.
Participants will engage in practical exercises that simulate real-world governance scenarios, enhancing their decision-making and analytical skills. They will learn to assess governance risks, develop compliance strategies, and engage stakeholders effectively, ultimately preparing them to contribute meaningfully to their organisations' governance frameworks. The course fosters collaboration and critical thinking, allowing participants to share insights and best practices. By the end of the programme, attendees will have developed the capabilities to implement effective governance policies, conduct thorough compliance assessments, and create comprehensive stakeholder engagement plans, positioning them as leaders in governance within their organisations.

Professional Problems This Course Is Designed to Solve

- Difficulty translating subject knowledge into practical workplace decisions.
- Inconsistent approaches to the activities covered in the course outline.
- Lack of a structured plan for applying and reviewing new skills.

Course methodology

The course employs a blend of case studies, role-playing, and collaborative workshops to deepen understanding of governance principles. Participants will work on real-life scenarios that require them to analyse governance frameworks, assess compliance risks, and develop stakeholder engagement strategies. Each day includes interactive discussions and group activities that promote critical thinking and application of knowledge. Outputs include governance assessments, compliance checklists, and stakeholder engagement plans, providing tangible evidence of learning. This hands-on approach ensures that participants can apply their skills in practical settings, reinforcing their learning and preparing them for real-world governance challenges. Participants will leave with actionable insights and tools to enhance their governance practices.



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Course objectives

By the end of this course, participants will be able to:
• Analyse governance frameworks to identify strengths and weaknesses in organisational structures.
• Assess compliance risks and develop strategies to mitigate them in a governance context.
• Design stakeholder engagement plans that align with governance objectives and organisational values.
• Construct effective governance policies that adhere to regulatory requirements and best practices.
• Prioritise governance issues based on risk assessments and stakeholder impact.
• Justify the implementation of specific governance tools to enhance organisational accountability.

Target audience

This course is suitable for:
• Governance professionals seeking certification to enhance their expertise and career prospects.
• Compliance officers responsible for ensuring adherence to regulations and ethical standards.
• Risk managers focused on identifying and mitigating governance-related risks within their organisations.
• Corporate secretaries tasked with managing board activities and governance documentation.

Target competencies

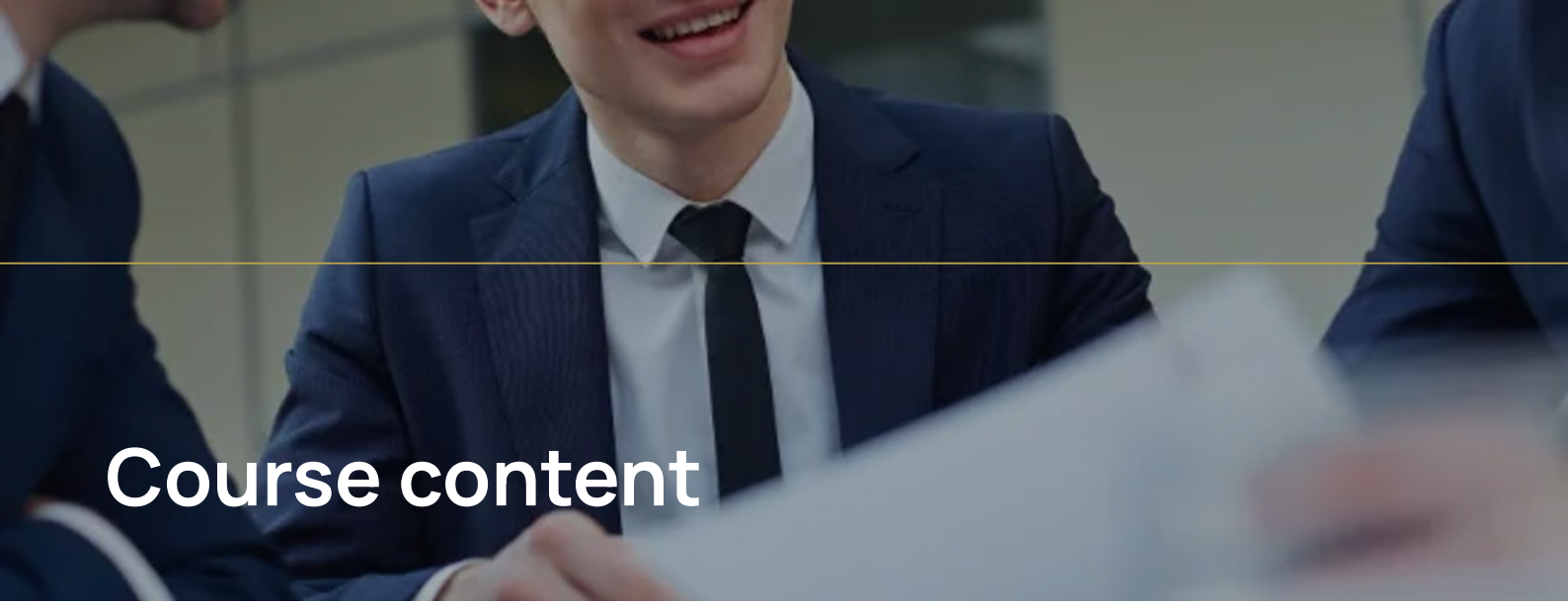
Participants will develop competencies in:
• Ability to analyse and evaluate governance frameworks.
• Skill in developing compliance and risk management strategies.
• Proficiency in stakeholder engagement and communication.
• Capability to design and implement governance policies and procedures.
• Expertise in conducting governance assessments and audits.
• Competence in prioritising governance issues based on organisational needs.

Course outline

Day 1: Foundations of Governance

Applied activity: Participants will analyse a fictional organisation's governance framework, identifying key strengths and areas for improvement. They will discuss their findings in groups and propose initial recommendations for enhancing governance effectiveness, focusing on compliance and risk management aspects.
Output: A governance assessment report highlighting strengths, weaknesses, and actionable recommendations.

09:00–09:54	Introduction to governance principles and frameworks in organisations, including key concepts and terminology.



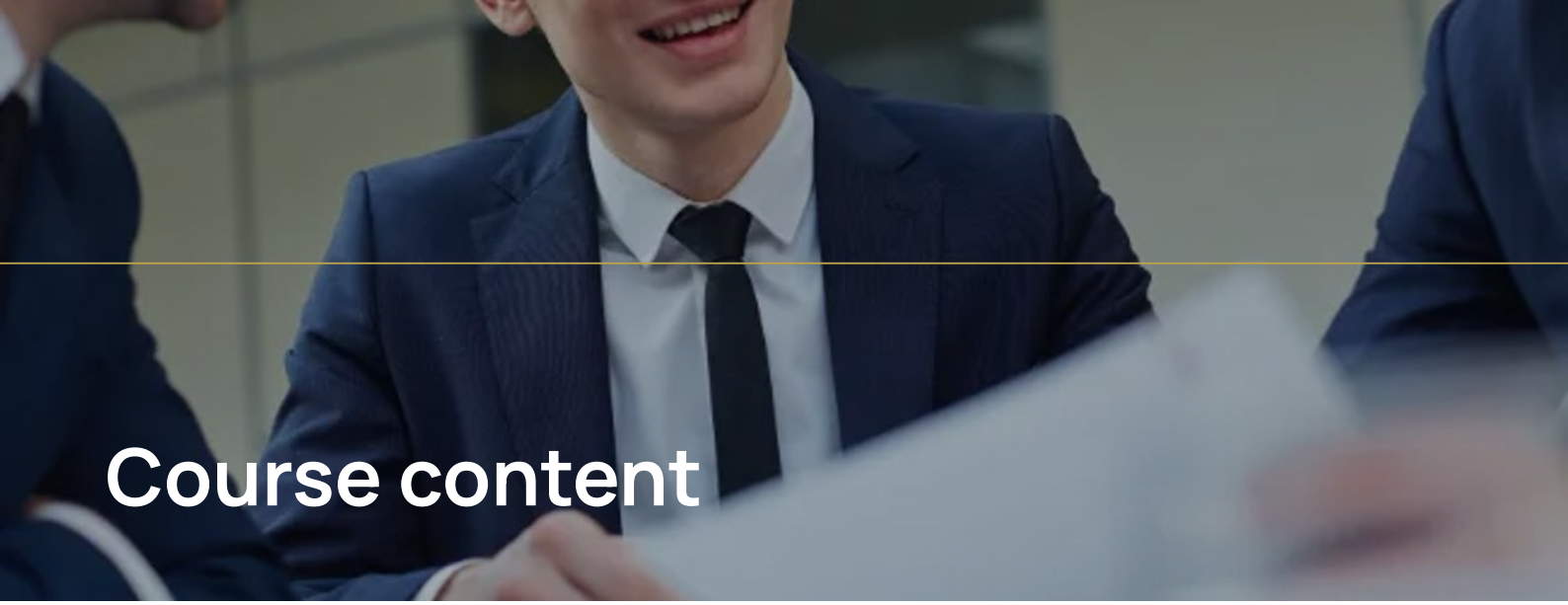
Course content

09:54–10:48	Understanding the role and responsibilities of governance professionals within an organisational context.
10:48–11:00	Key regulatory requirements and compliance standards that govern organisational practices and behaviours.
11:00–11:30	**Coffee break**
11:30–12:12	Key regulatory requirements and compliance standards that govern organisational practices and behaviours. (continued)
12:12–13:06	The importance of ethical governance in maintaining organisational integrity and stakeholder trust.
13:06–14:00	Overview of governance structures, models, and their applications in various sectors.

Day 2: Compliance and Risk Management

Applied activity: Working in teams, participants will assess a case study involving compliance failures. They will identify the risks involved, analyse the causes, and develop a comprehensive compliance strategy to address these issues, ensuring alignment with best practices.
Output: A compliance strategy document outlining risk assessments, mitigation plans, and compliance monitoring processes.

09:00–09:54	Identifying compliance risks in governance and organisational practices, including common pitfalls and challenges.
09:54–10:48	Developing a comprehensive compliance framework tailored to organisational needs and regulatory requirements.
10:48–11:00	Tools and techniques for effective risk assessment and management in governance contexts.
11:00–11:30	**Coffee break**
11:30–12:12	Tools and techniques for effective risk assessment and management in governance contexts. (continued)
12:12–13:06	Mitigation strategies for governance-related risks and challenges, including best practices and case studies.
13:06–14:00	Monitoring compliance and reporting to stakeholders and regulators, ensuring transparency and accountability.



Course content

Day 3: Stakeholder Engagement Strategies

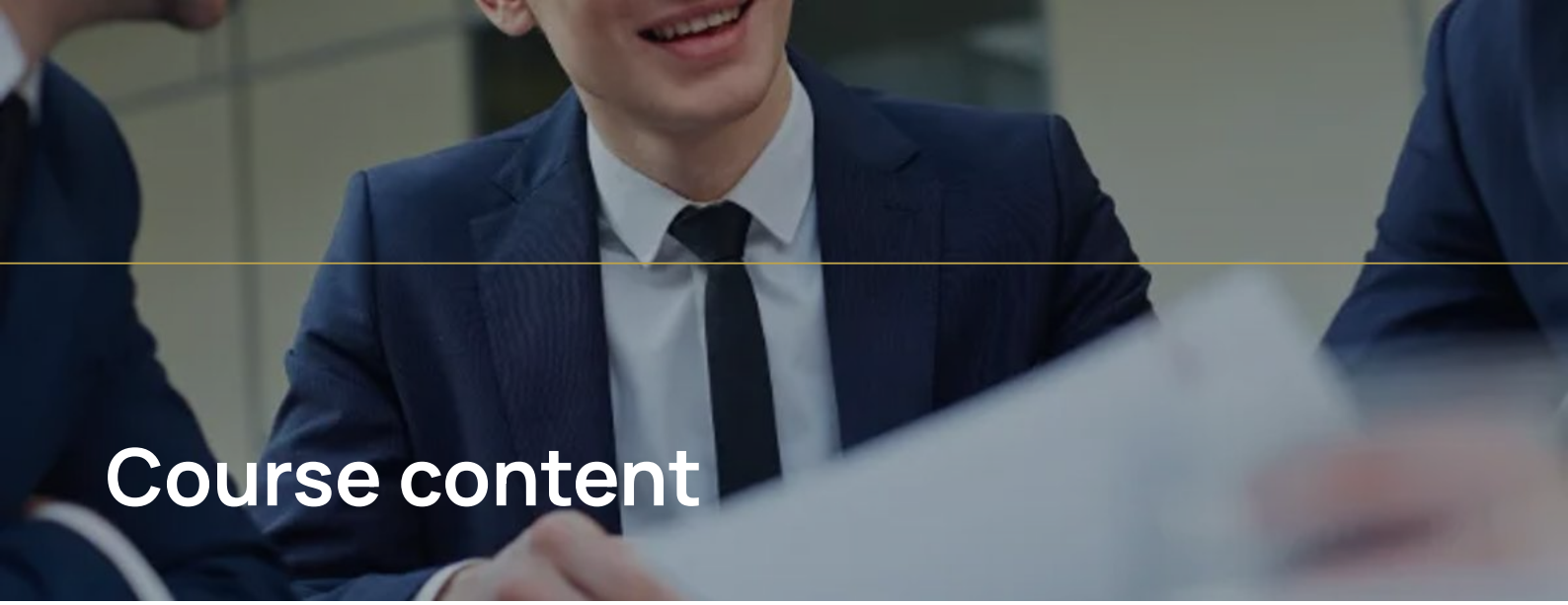
Applied activity: Participants will create a stakeholder engagement plan for a fictional governance initiative, considering various stakeholder interests, communication methods, and engagement techniques. They will present their plans to the group for feedback and refinement.
Output: A stakeholder engagement plan detailing strategies, communication approaches, and evaluation methods.

09:00–09:54	Identifying key stakeholders in governance and their diverse interests, including internal and external parties.
09:54–10:48	Techniques for effective communication with various stakeholders, tailored to their needs and expectations.
10:48–11:00	Building and maintaining relationships with stakeholders through engagement and collaboration strategies.
11:00–11:30	**Coffee break**
11:30–12:12	Building and maintaining relationships with stakeholders through engagement and collaboration strategies. (continued)
12:12–13:06	Engagement strategies for different stakeholder groups and contexts, including methods for effective outreach.
13:06–14:00	Evaluating stakeholder feedback to inform governance decisions and improve practices within the organisation.

Day 4: Policy Development and Implementation

Applied activity: In small groups, participants will draft a governance policy for a fictional organisation, ensuring alignment with regulatory requirements and organisational objectives. They will present their policy drafts for peer review and discussion.
Output: A draft governance policy document ready for implementation, including feedback from peers.

09:00–09:54	Best practices for governance policy development and drafting, including key components and considerations.
09:54–10:48	Aligning policies with organisational goals and regulatory requirements to ensure compliance and effectiveness.
10:48–11:00	Implementing governance policies effectively across the organisation, including training and communication strategies.
11:00–11:30	**Coffee break**



Course content

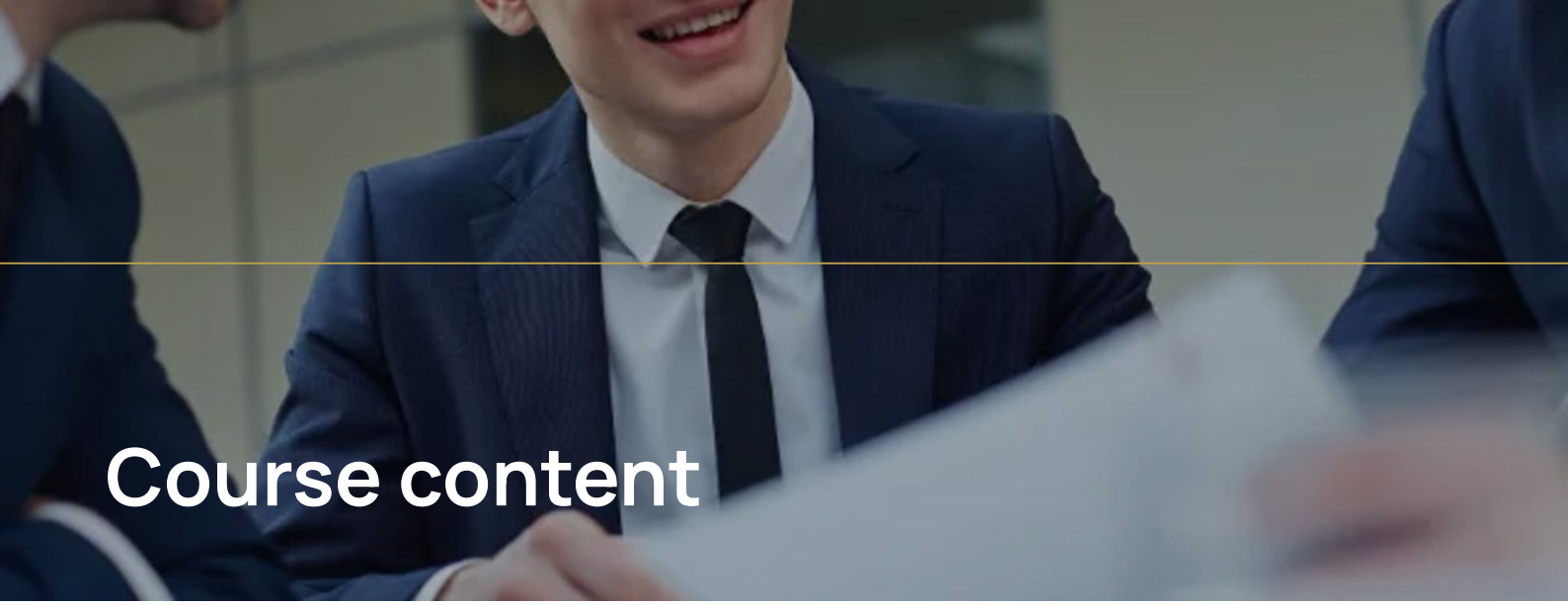
11:30–12:12	Implementing governance policies effectively across the organisation, including training and communication strategies. (continued)
12:12–13:06	Evaluating policy impact and effectiveness through defined metrics and feedback mechanisms.
13:06–14:00	Continuous improvement in governance practices and policy updates based on stakeholder input and changing regulations.

Day 5: Integrated Governance Practice

Applied activity: Participants will work on a comprehensive governance project that integrates all aspects learned throughout the course. They will develop a complete governance framework for a fictional organisation, addressing compliance, risk, and stakeholder engagement in a cohesive manner.
Output: A comprehensive governance framework document that includes policies, risk assessments, and stakeholder engagement strategies, ready for presentation.

09:00–09:54	Combining governance, compliance, and risk management into a cohesive framework for organisational effectiveness.
09:54–10:48	Case studies of effective governance practices in various sectors, highlighting lessons learned and best practices.
10:48–11:00	Developing an integrated governance framework for organisations that addresses compliance and stakeholder engagement.
11:00–11:30	**Coffee break**
11:30–12:12	Developing an integrated governance framework for organisations that addresses compliance and stakeholder engagement. (continued)
12:12–13:06	Tools for ongoing governance evaluation and improvement, including metrics and assessment techniques.
13:06–14:00	Preparing for governance certification and professional development, including resources and next steps for participants.

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.

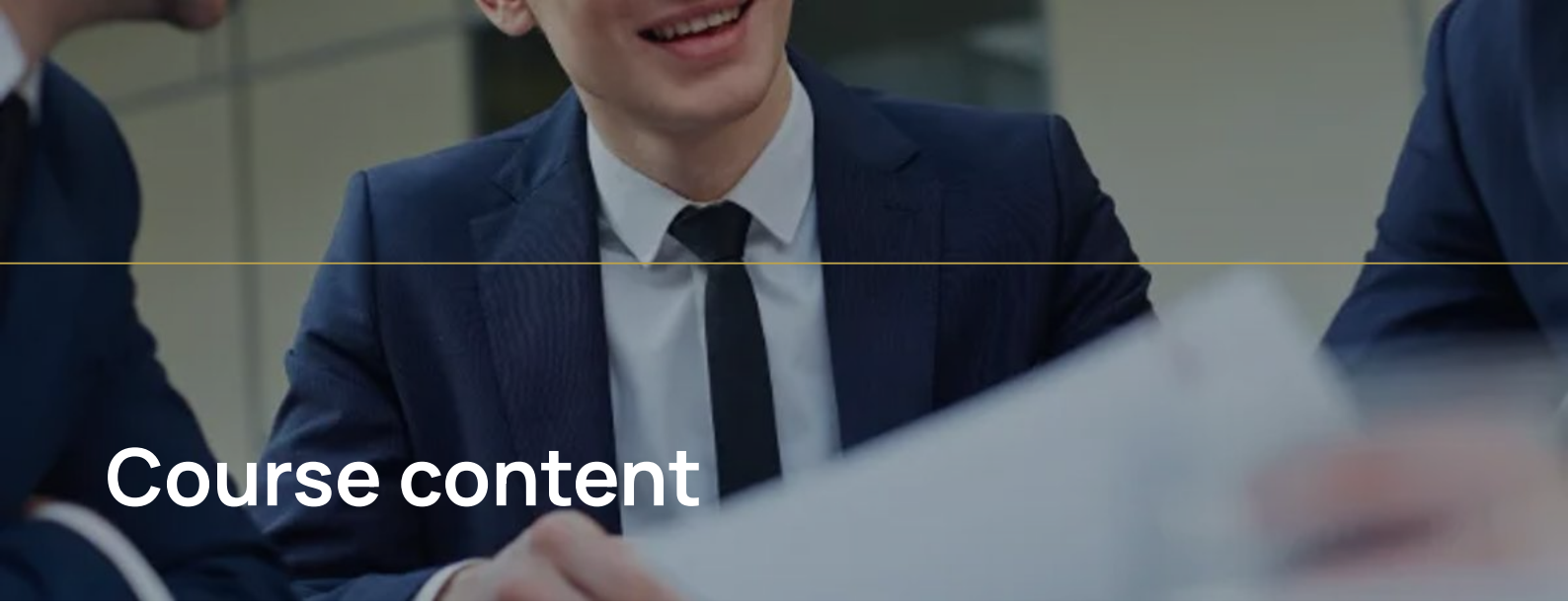


Course content

Dates, venues and fees

Current published course plans. Fees are per participant in GBP, excluding unconfirmed taxes.

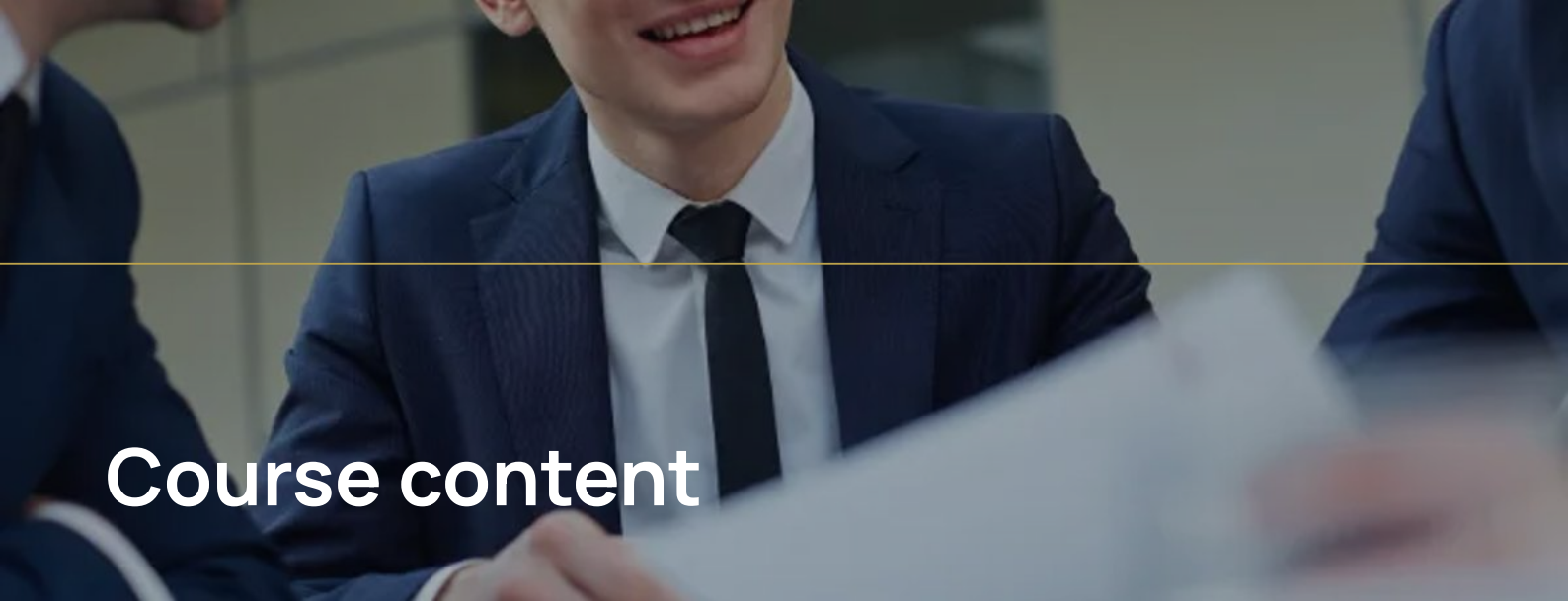
Venue	Start date	End date	Fee (GBP)
Dubai Confirmed dates	16 Nov 2026	20 Nov 2026	**£3,150.–**
Online Confirmed dates	16 Nov 2026	20 Nov 2026	**£1,250.–**
Vienna Confirmed dates	23 Nov 2026	27 Nov 2026	**£2,940.–**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,250.–**
Frankfurt Confirmed dates	7 Dec 2026	11 Dec 2026	**£2,650.–**
Online Confirmed dates	7 Dec 2026	11 Dec 2026	**£1,250.–**
Kuala Lumpur Confirmed dates	14 Dec 2026	18 Dec 2026	**£1,450.–**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**£1,250.–**
Paris Confirmed dates	4 Jan 2027	8 Jan 2027	**£2,860.–**



Course content

Dates, venues and fees (continued)

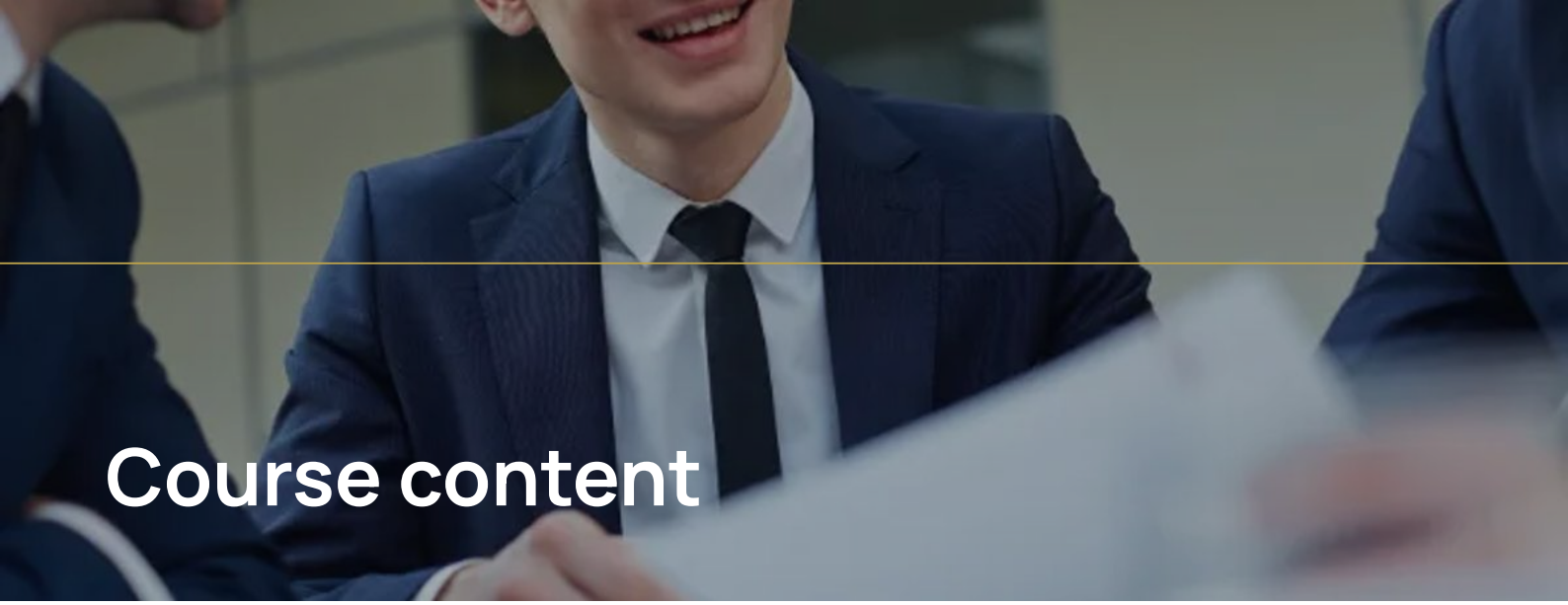
Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	4 Jan 2027	8 Jan 2027	**£1,250.-**
Istanbul Confirmed dates	18 Jan 2027	22 Jan 2027	**£2,650.-**
Online Confirmed dates	18 Jan 2027	22 Jan 2027	**£1,250.-**
Amsterdam Confirmed dates	1 Feb 2027	5 Feb 2027	**£2,540.-**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**£1,250.-**
Singapore Confirmed dates	15 Feb 2027	19 Feb 2027	**£2,820.-**
Online Confirmed dates	15 Feb 2027	19 Feb 2027	**£1,250.-**
Barcelona Confirmed dates	22 Feb 2027	26 Feb 2027	**£2,840.-**
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**£1,250.-**



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Dates, venues and fees (continued)

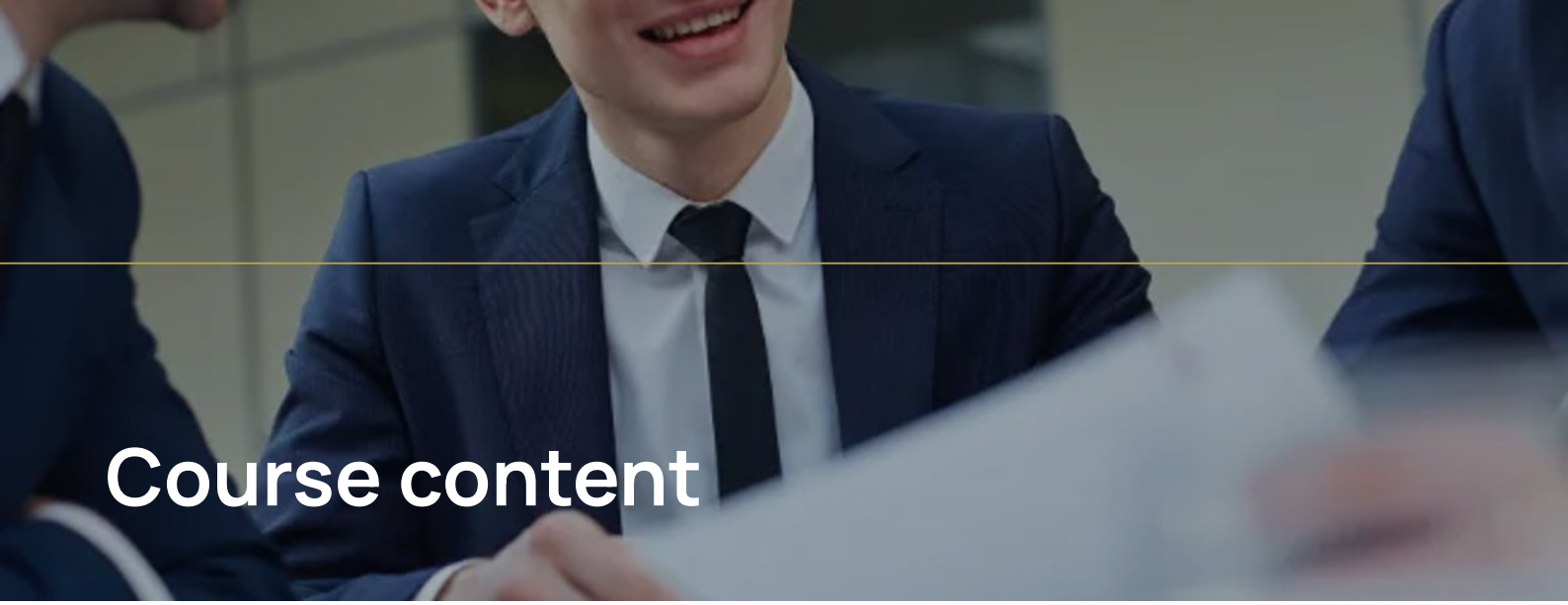
Venue	Start date	End date	Fee (GBP)
London Confirmed dates	1 Mar 2027	5 Mar 2027	**£2,450.–**
Online Confirmed dates	1 Mar 2027	5 Mar 2027	**£1,250.–**
Dubai Confirmed dates	15 Mar 2027	19 Mar 2027	**£3,150.–**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**£1,250.–**
Frankfurt Confirmed dates	5 Apr 2027	9 Apr 2027	**£2,650.–**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,250.–**
Kuala Lumpur Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,450.–**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,250.–**
Cape Town Confirmed dates	26 Apr 2027	30 Apr 2027	**£1,890.–**



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Dates, venues and fees (continued)

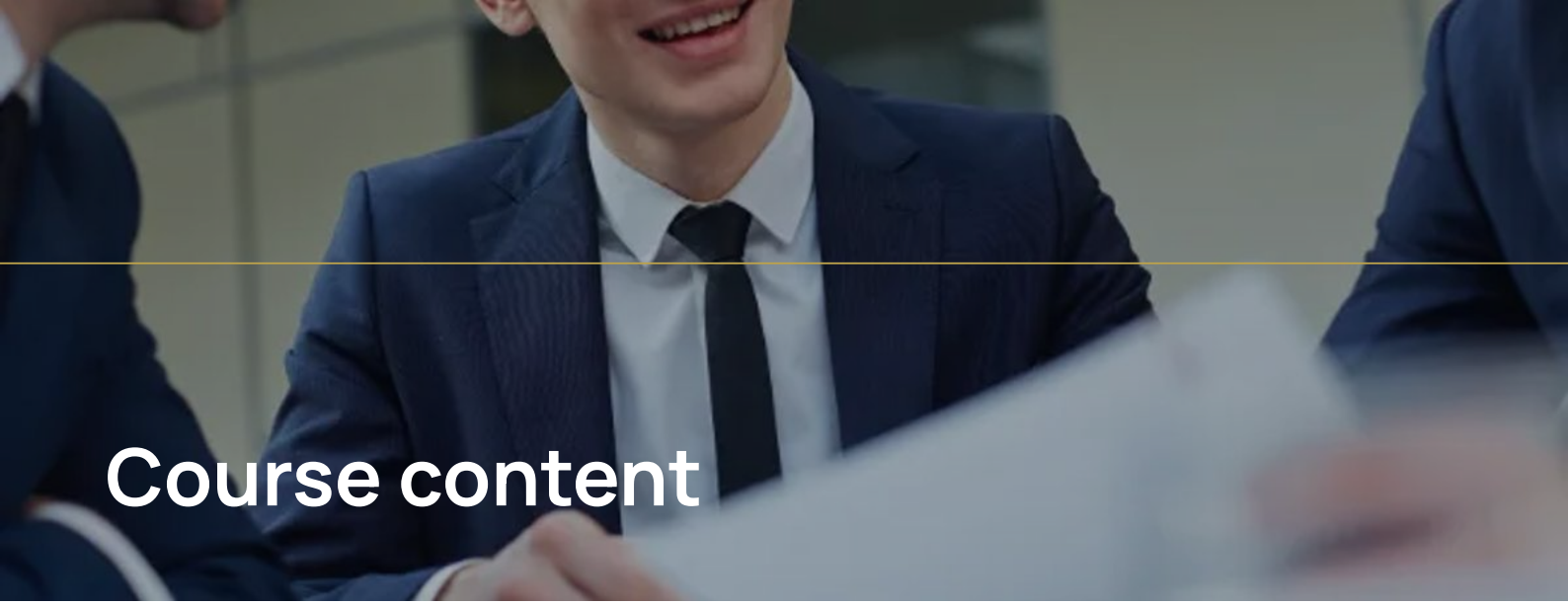
Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	26 Apr 2027	30 Apr 2027	**£1,250.–**
Paris Confirmed dates	3 May 2027	7 May 2027	**£2,860.–**
Online Confirmed dates	3 May 2027	7 May 2027	**£1,250.–**
Istanbul Confirmed dates	17 May 2027	21 May 2027	**£2,650.–**
Online Confirmed dates	17 May 2027	21 May 2027	**£1,250.–**
Amsterdam Confirmed dates	7 Jun 2027	11 Jun 2027	**£2,540.–**
Online Confirmed dates	7 Jun 2027	11 Jun 2027	**£1,250.–**
Singapore Confirmed dates	21 Jun 2027	25 Jun 2027	**£2,820.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**£1,250.–**



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Dates, venues and fees (continued)

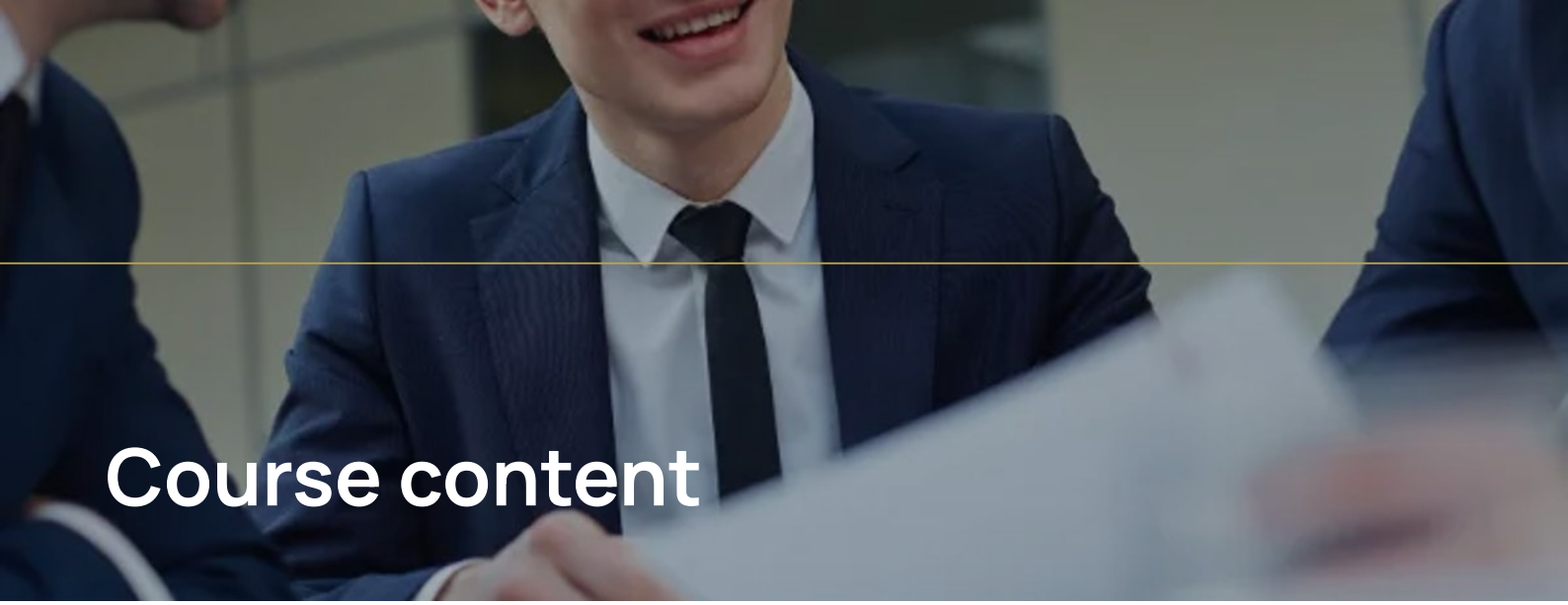
Venue	Start date	End date	Fee (GBP)
Rome Confirmed dates	28 Jun 2027	2 Jul 2027	**£2,450.–**
Online Confirmed dates	28 Jun 2027	2 Jul 2027	**£1,250.–**
London Confirmed dates	5 Jul 2027	9 Jul 2027	**£2,450.–**
Online Confirmed dates	5 Jul 2027	9 Jul 2027	**£1,250.–**
Dubai Confirmed dates	19 Jul 2027	23 Jul 2027	**£3,150.–**
Online Confirmed dates	19 Jul 2027	23 Jul 2027	**£1,250.–**
Frankfurt Confirmed dates	2 Aug 2027	6 Aug 2027	**£2,650.–**
Online Confirmed dates	2 Aug 2027	6 Aug 2027	**£1,250.–**
Kuala Lumpur Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,450.–**



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Dates, venues and fees (continued)

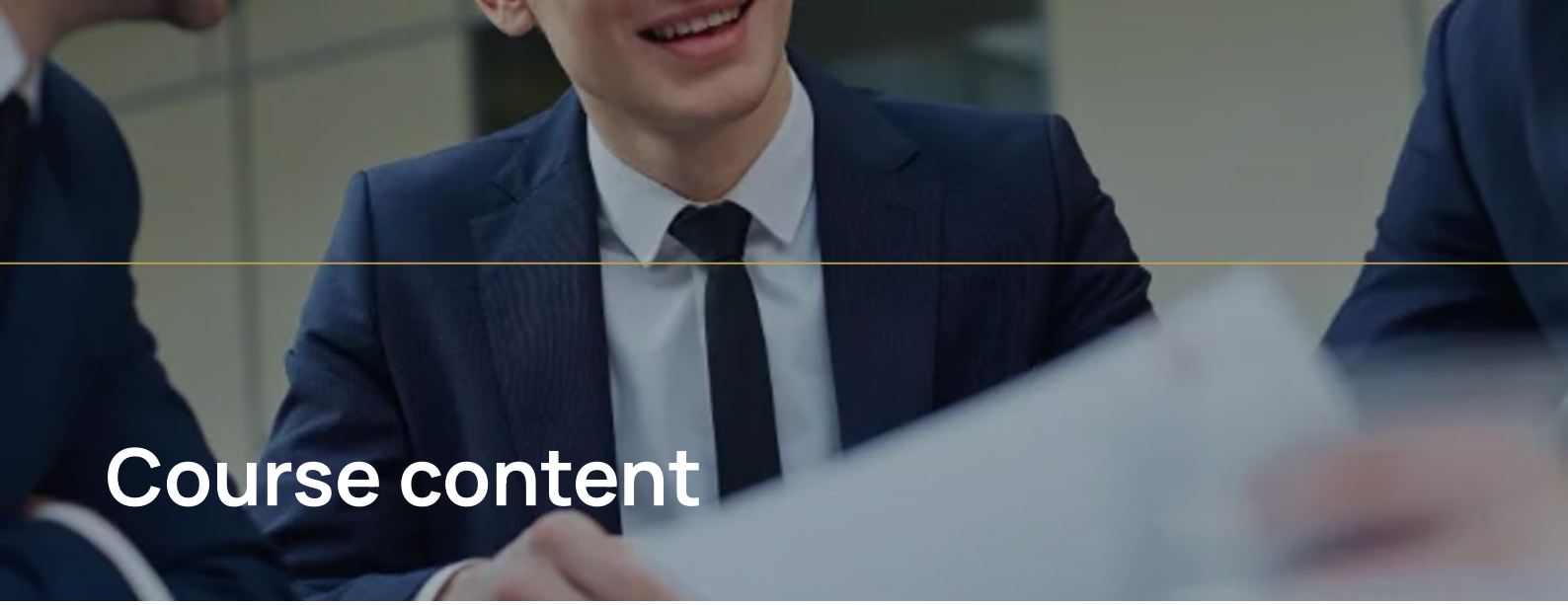
Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,250.-**
Rome Confirmed dates	30 Aug 2027	3 Sept 2027	**£2,450.-**
Online Confirmed dates	30 Aug 2027	3 Sept 2027	**£1,250.-**
Paris Confirmed dates	6 Sept 2027	10 Sept 2027	**£2,860.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,250.-**
Istanbul Confirmed dates	20 Sept 2027	24 Sept 2027	**£2,650.-**
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Amsterdam Confirmed dates	4 Oct 2027	8 Oct 2027	**£2,540.-**
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London Confirmed dates	1 Nov 2027	5 Nov 2027	**£2,450.–**
Online Confirmed dates	1 Nov 2027	5 Nov 2027	**£1,250.–**
Cape Town Confirmed dates	8 Nov 2027	12 Nov 2027	**£1,890.–**
Online Confirmed dates	8 Nov 2027	12 Nov 2027	**£1,250.–**
Dubai Confirmed dates	15 Nov 2027	19 Nov 2027	**£3,150.–**
Online Confirmed dates	15 Nov 2027	19 Nov 2027	**£1,250.–**
Frankfurt Confirmed dates	6 Dec 2027	10 Dec 2027	**£2,650.–**



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Dates, venues and fees (continued)

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Online Confirmed dates	6 Dec 2027	10 Dec 2027	**£1,250.-**
Kuala Lumpur Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,450.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon UK certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

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