

AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Auditing Third-Party and Outsourcing Arrangements

5 days | Classroom training | English

SMART HORIZON

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Course content

Why attend

Organisations rely on suppliers, agents and outsourcers for critical services, creating operational, compliance and reputational risks. This course covers auditing third-party risk management: inventories, risk tiering, due diligence, contracts, ongoing monitoring, fourth parties, concentration risk and offboarding.

Many incidents start with a third party. You will learn to audit whether third-party risks are properly managed.

Professional Problems This Course Is Designed to Solve

- Difficulty translating subject knowledge into practical workplace decisions.
- Inconsistent approaches to the activities covered in the course outline.
- Lack of a structured plan for applying and reviewing new skills.

Course methodology

Framework reviews, sample testing of due diligence files, case studies and audit programme design.

Course objectives

By the end of this course, participants will be able to:
- Understand third-party risk management frameworks
- Audit third-party inventories and risk tiering
- Test due diligence and onboarding
- Review contracts and oversight
- Assess monitoring and concentration risk
- Audit offboarding and exit

Target audience

This course is suitable for:
- Internal auditors
- Third-party risk managers
- Procurement and compliance staff
- Risk managers
- Audit managers



Course content

Target competencies

Participants will develop competencies in:

- Framework review
- Due diligence testing
- Contract review
- Monitoring assessment
- Reporting

Course outline

Day 1: Third-Party Risk

09:00–10:08	Types of third parties
10:08–11:00	Risks
11:00–11:30	**Coffee break**
11:30–11:45	Risks (continued)
11:45–12:53	Regulation
12:53–14:00	Exercise

Day 2: Inventory and Tiering

09:00–10:08	Completeness
10:08–11:00	Risk tiers
11:00–11:30	**Coffee break**
11:30–11:45	Risk tiers (continued)
11:45–12:53	Ownership
12:53–14:00	Workshop

Day 3: Due Diligence

09:00–10:08	Onboarding checks
10:08–11:00	File testing
11:00–11:30	**Coffee break**



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11:30–11:45	File testing (continued)
11:45–12:53	Exceptions
12:53–14:00	Exercise

Day 4: Oversight

09:00–10:08	Contracts
10:08–11:00	Performance monitoring
11:00–11:30	**Coffee break**
11:30–11:45	Performance monitoring (continued)
11:45–12:53	Fourth parties
12:53–14:00	Case study

Day 5: Concentration and Exit

09:00–10:08	Concentration risk
10:08–11:00	Exit plans
11:00–11:30	**Coffee break**
11:30–11:45	Exit plans (continued)
11:45–12:53	Reporting
12:53–14:00	Action plan

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.



Course content

Dates, venues and fees

Current published course plans. Fees are per participant in GBP, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (GBP)
Cape Town Confirmed dates	16 Nov 2026	20 Nov 2026	**£1,890.–**
Online Confirmed dates	16 Nov 2026	20 Nov 2026	**£1,250.–**
London Confirmed dates	7 Dec 2026	11 Dec 2026	**£2,450.–**
Online Confirmed dates	7 Dec 2026	11 Dec 2026	**£1,250.–**
Dubai Confirmed dates	11 Jan 2027	15 Jan 2027	**£3,150.–**
Online Confirmed dates	11 Jan 2027	15 Jan 2027	**£1,250.–**
Rome Confirmed dates	1 Feb 2027	5 Feb 2027	**£2,450.–**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**£1,250.–**
Barcelona Confirmed dates	22 Feb 2027	26 Feb 2027	**£2,840.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**£1,250.–**
Istanbul Confirmed dates	8 Mar 2027	12 Mar 2027	**£2,650.–**
Online Confirmed dates	8 Mar 2027	12 Mar 2027	**£1,250.–**
Kuala Lumpur Confirmed dates	29 Mar 2027	2 Apr 2027	**£1,450.–**
Online Confirmed dates	29 Mar 2027	2 Apr 2027	**£1,250.–**
Cape Town Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,890.–**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,250.–**
Dubai Confirmed dates	10 May 2027	14 May 2027	**£3,150.–**
Online Confirmed dates	10 May 2027	14 May 2027	**£1,250.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Vienna Confirmed dates	31 May 2027	4 Jun 2027	**£2,940.–**
Online Confirmed dates	31 May 2027	4 Jun 2027	**£1,250.–**
Cape Town Confirmed dates	14 Jun 2027	18 Jun 2027	**£1,890.–**
Online Confirmed dates	14 Jun 2027	18 Jun 2027	**£1,250.–**
London Confirmed dates	5 Jul 2027	9 Jul 2027	**£2,450.–**
Online Confirmed dates	5 Jul 2027	9 Jul 2027	**£1,250.–**
Frankfurt Confirmed dates	26 Jul 2027	30 Jul 2027	**£2,650.–**
Online Confirmed dates	26 Jul 2027	30 Jul 2027	**£1,250.–**
Rome Confirmed dates	16 Aug 2027	20 Aug 2027	**£2,450.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,250.-**
Dubai Confirmed dates	6 Sept 2027	10 Sept 2027	**£3,150.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,250.-**
Rome Confirmed dates	20 Sept 2027	24 Sept 2027	**£2,450.-**
Online Confirmed dates	20 Sept 2027	24 Sept 2027	**£1,250.-**
Vienna Confirmed dates	11 Oct 2027	15 Oct 2027	**£2,940.-**
Online Confirmed dates	11 Oct 2027	15 Oct 2027	**£1,250.-**
Paris Confirmed dates	1 Nov 2027	5 Nov 2027	**£2,860.-**
Online Confirmed dates	1 Nov 2027	5 Nov 2027	**£1,250.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (GBP)
Barcelona Confirmed dates	22 Nov 2027	26 Nov 2027	**£2,840.-**
Online Confirmed dates	22 Nov 2027	26 Nov 2027	**£1,250.-**
Amsterdam Confirmed dates	13 Dec 2027	17 Dec 2027	**£2,540.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon UK certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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