

FINANCE & ACCOUNTING | COURSE BROCHURE

Anti-Financial Crime Compliance & Risk Management

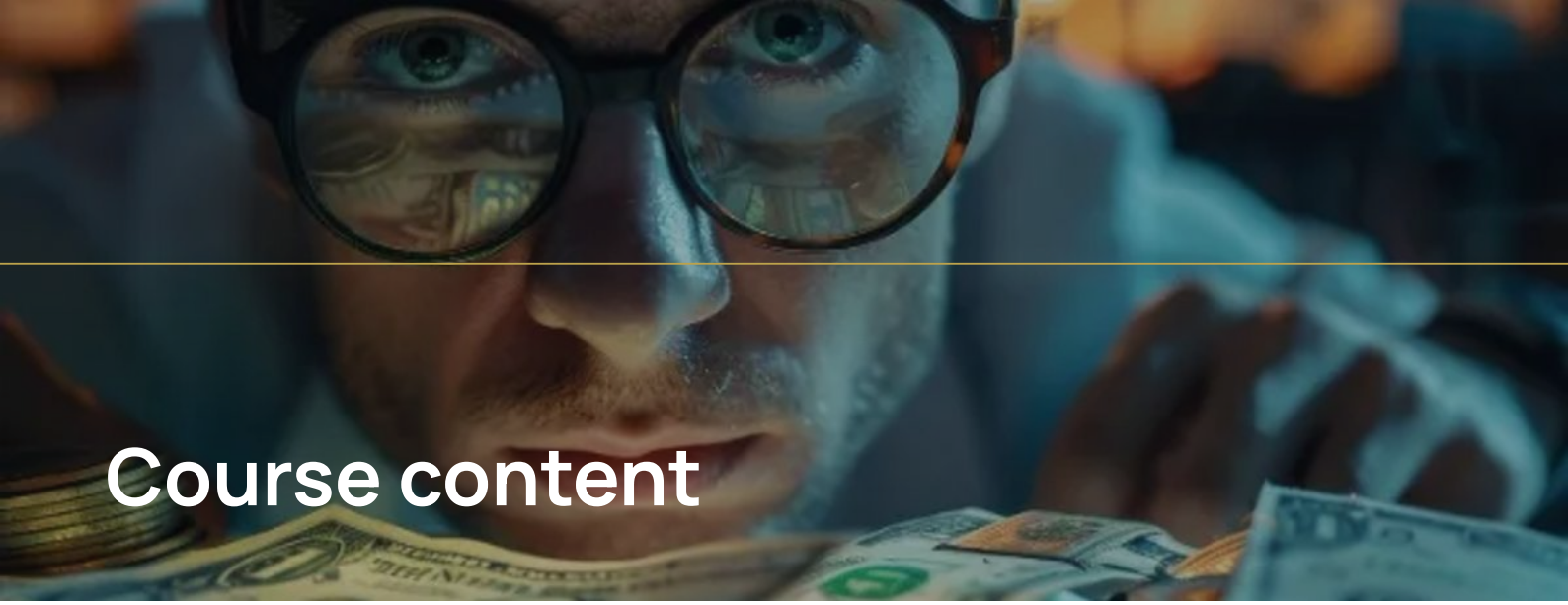
5 days | Classroom training | English

SMART HORIZON

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Course content

Why attend

This course provides a comprehensive framework for understanding and implementing anti-financial crime compliance and risk management strategies. Participants will gain practical skills in identifying, assessing, and mitigating financial crime risks within their organisations, enhancing their compliance frameworks.

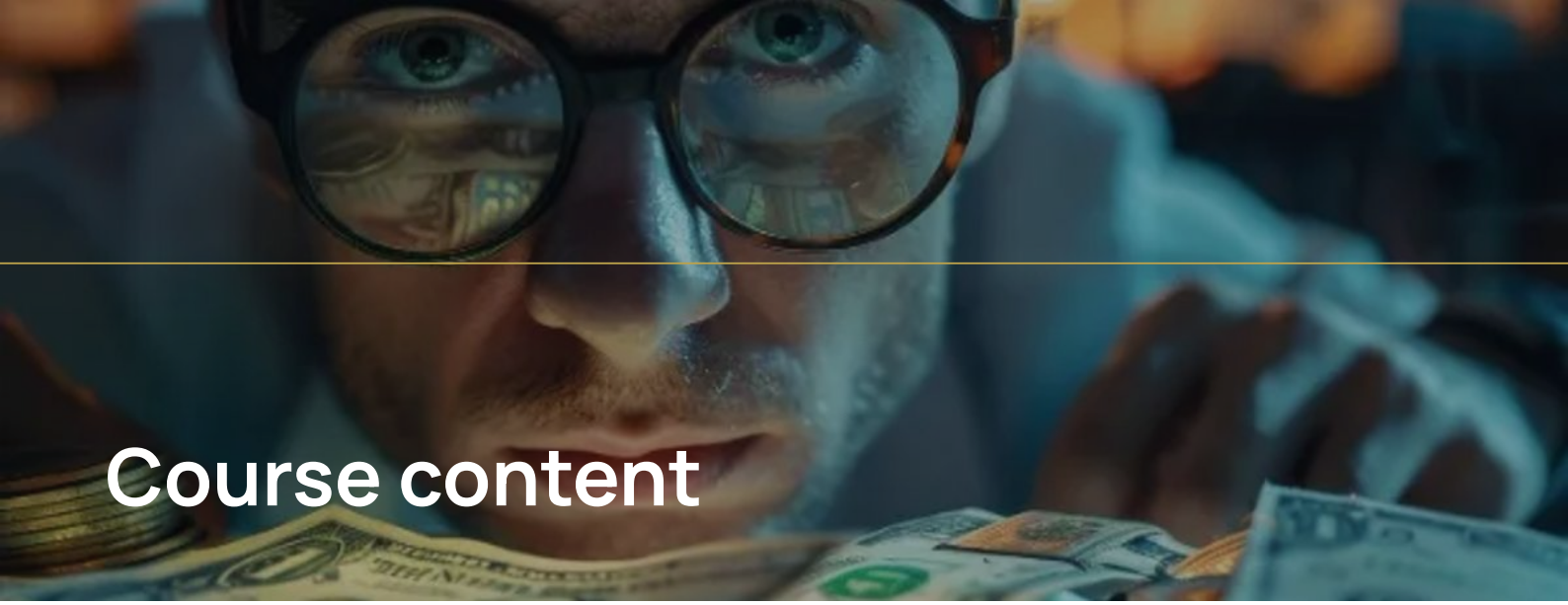
Financial crime poses significant risks to organisations, including reputational damage, legal penalties, and financial losses. Professionals in compliance and risk management must navigate complex regulations and develop robust strategies to protect their organisations from these threats. This course addresses the critical need for effective anti-financial crime measures, ensuring participants can respond to evolving risks and regulatory expectations. By enhancing their understanding of financial crime typologies, compliance frameworks, and risk management strategies, attendees will be better equipped to implement proactive measures that safeguard their organisations' integrity and financial health, ultimately fostering a culture of compliance and ethical behaviour.
Participants will engage in practical exercises that simulate real-world scenarios, enhancing their ability to identify and manage financial crime risks effectively. They will practise developing compliance programmes, conducting risk assessments, and establishing monitoring mechanisms. This hands-on approach will enable them to make informed decisions that strengthen their organisation's financial integrity and compliance posture. By the end of the course, participants will have the skills to create actionable compliance strategies, ensuring their organisations can mitigate risks and comply with regulatory requirements in an increasingly complex financial landscape. This practical focus will empower them to lead compliance initiatives confidently.

Professional Problems This Course Is Designed to Solve

- Difficulty translating subject knowledge into practical workplace decisions.
- Inconsistent approaches to the activities covered in the course outline.
- Lack of a structured plan for applying and reviewing new skills.

Course methodology

The course employs a blend of case studies, interactive workshops, and practical simulations to build participants' capabilities in anti-financial crime compliance. Each day features targeted exercises that reflect real-world challenges, enabling participants to apply theoretical concepts to practical situations. For instance, participants will work on risk assessment reports, compliance checklists, and action plans, providing tangible evidence of learning and application. Additionally, collaborative group activities will foster peer learning and critical thinking, ensuring that participants leave with a comprehensive understanding of compliance frameworks and risk management strategies tailored to their organisational contexts. The use of role-playing and scenario analysis will further enhance their decision-making skills in compliance situations.



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Course objectives

By the end of this course, participants will be able to:
• Analyse financial crime typologies and their implications for compliance frameworks.
• Assess organisational vulnerabilities to financial crime risks using established methodologies.
• Design a comprehensive anti-financial crime compliance programme tailored to specific organisational needs.
• Prioritise risk mitigation strategies based on risk assessment findings.
• Construct effective monitoring and reporting mechanisms for compliance activities.
• Justify the selection of compliance tools and technologies to enhance risk management efforts.

Target audience

This course is suitable for:
• Compliance Officers responsible for financial crime prevention.
• Risk Managers tasked with identifying and mitigating financial risks.
• Internal Auditors focusing on compliance and risk assessment.
• Legal Advisors ensuring regulatory compliance in financial operations.

Target competencies

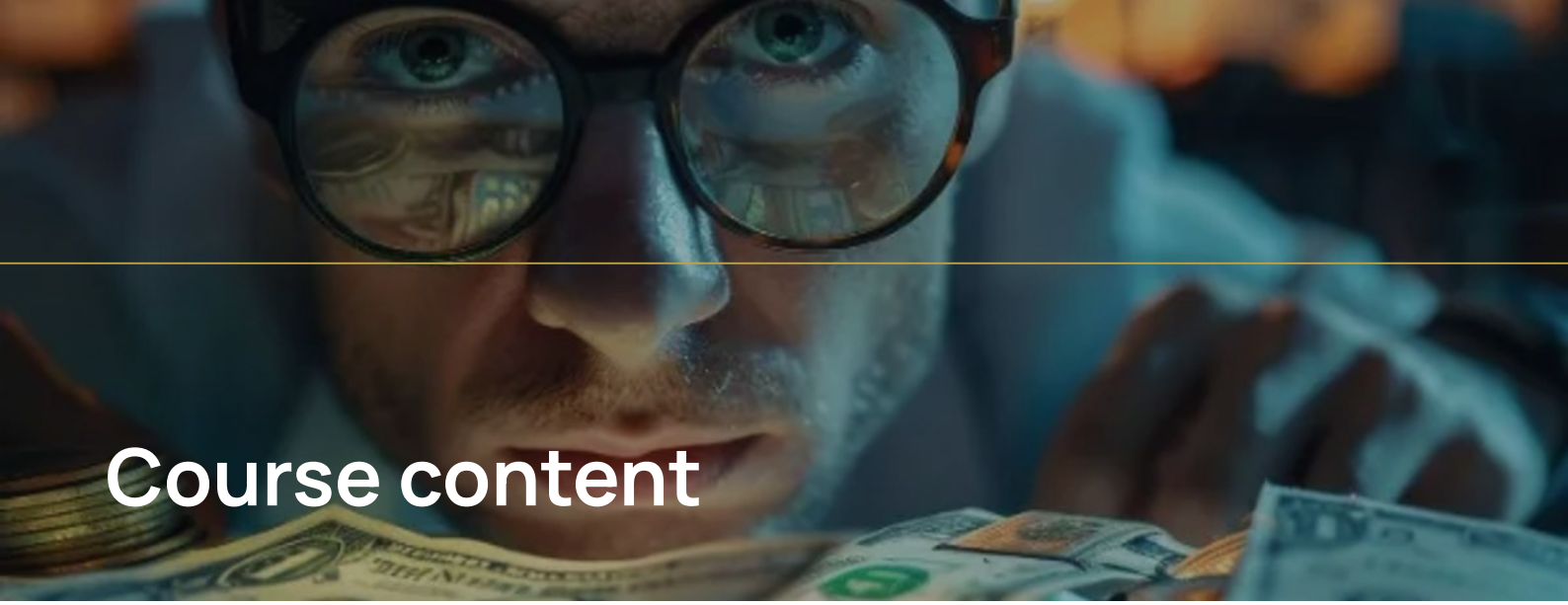
Participants will develop competencies in:
• Conduct thorough risk assessments for financial crime.
• Develop and implement compliance programmes effectively.
• Utilise compliance technologies to monitor financial transactions.
• Evaluate the effectiveness of anti-financial crime measures.
• Communicate compliance requirements across the organisation.
• Integrate risk management practices into organisational policies.

Course outline

Day 1: Understanding Financial Crime and Compliance Frameworks

Applied activity: Participants will analyse a case study of a financial crime incident, identifying compliance failures and proposing improvements to the compliance framework. They will discuss how these failures could have been prevented and the implications for the organisation's reputation and operational integrity.
Output: A report detailing identified compliance gaps and recommended enhancements.

09:00–09:54	Overview of financial crime types: money laundering, fraud, bribery, and corruption.
09:54–10:48	Key regulations and standards governing financial crime compliance, such as AML and KYC.



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10:48–11:00	The role of compliance in risk management and organisational integrity.
11:00–11:30	**Coffee break**
11:30–12:12	The role of compliance in risk management and organisational integrity. (continued)
12:12–13:06	Impact of financial crime on organisations, stakeholders, and the economy.
13:06–14:00	Best practices for establishing a compliance culture within organisations.

Day 2: Risk Assessment Methodologies

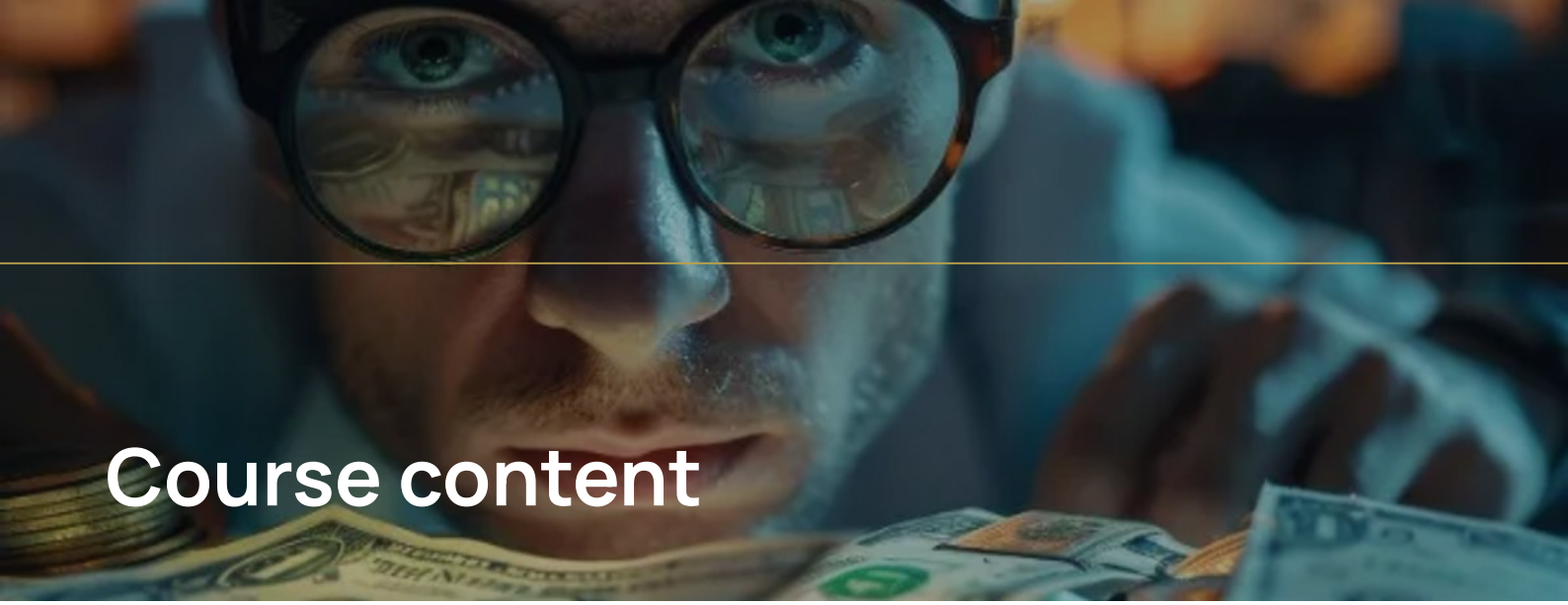
Applied activity: Participants will conduct a risk assessment for a fictional organisation, identifying key vulnerabilities and potential impacts of financial crime. They will use risk matrices to categorise risks and present their findings to the group, discussing mitigation strategies and prioritisation of actions.
Output: A comprehensive risk assessment report with prioritised risk factors.

09:00–09:54	Introduction to risk assessment frameworks for financial crime, including COSO and ISO 31000.
09:54–10:48	Identifying and categorising financial crime risks using risk matrices and heat maps.
10:48–11:00	Conducting a risk assessment: tools and techniques for effective analysis.
11:00–11:30	**Coffee break**
11:30–12:12	Conducting a risk assessment: tools and techniques for effective analysis. (continued)
12:12–13:06	Quantitative vs qualitative risk assessment methods and their applications.
13:06–14:00	Documenting and reporting risk assessment findings for stakeholders.

Day 3: Developing an Anti-Financial Crime Compliance Programme

Applied activity: Participants will design a compliance programme for a fictional organisation, including policies, training plans, and monitoring strategies. They will present their programme to peers for feedback and improvement suggestions, focusing on practical implementation and stakeholder engagement.
Output: A detailed compliance programme document outlining policies and training.

09:00–09:54	Components of an effective compliance programme, including policies, procedures, and training.
09:54–10:48	Designing policies and procedures for financial crime prevention tailored to organisational needs.
10:48–11:00	Training and awareness initiatives for staff to ensure compliance understanding.
11:00–11:30	**Coffee break**
11:30–12:12	Training and awareness initiatives for staff to ensure compliance understanding. (continued)



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12:12–13:06	Integrating compliance into organisational culture through leadership and communication.
13:06–14:00	Monitoring and reviewing compliance programme effectiveness and adapting to changes.

Day 4: Monitoring and Reporting Compliance Activities

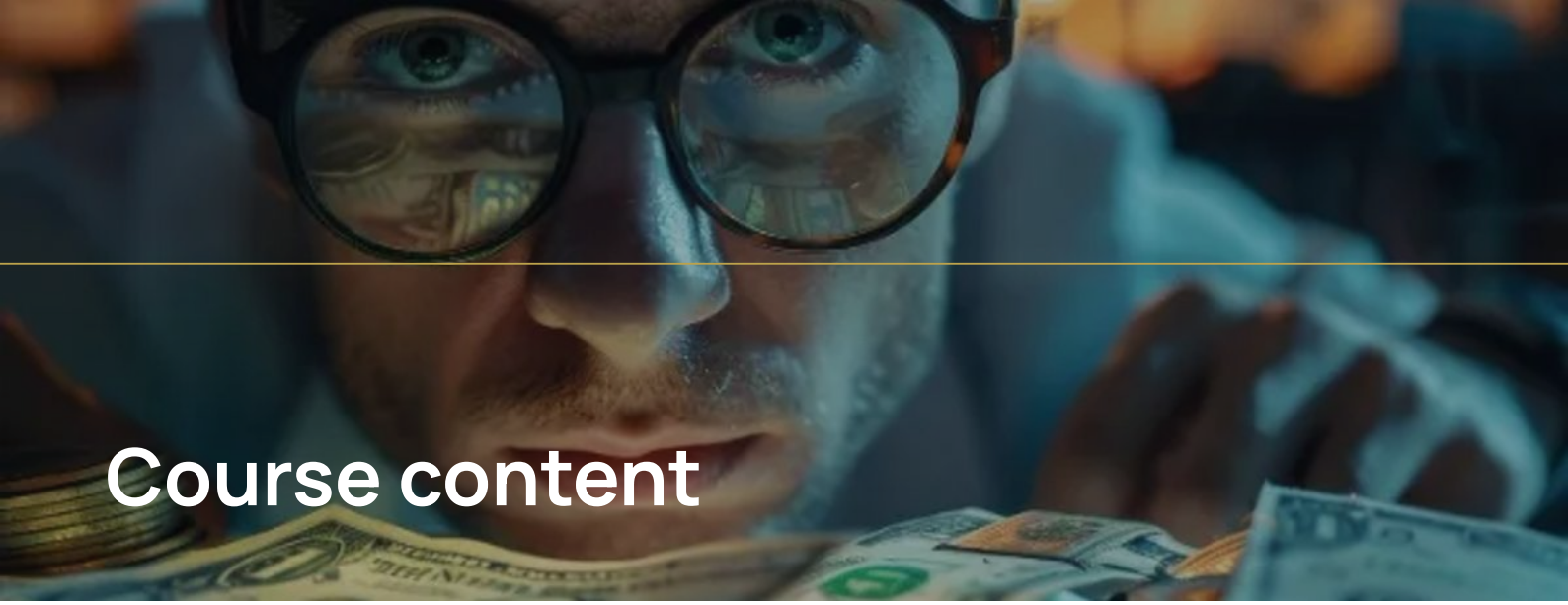
Applied activity: Participants will create a monitoring and reporting framework for a fictional organisation, detailing KPIs and reporting protocols. They will role-play as compliance officers reporting to senior management, discussing findings and recommendations for improvement in compliance practices.
Output: A monitoring and reporting framework document with KPIs.

09:00–09:54	Establishing monitoring mechanisms for compliance activities, including audits and reviews.
09:54–10:48	Key performance indicators for compliance effectiveness and how to measure them.
10:48–11:00	Reporting requirements for financial crime compliance to regulatory bodies and stakeholders.
11:00–11:30	**Coffee break**
11:30–12:12	Reporting requirements for financial crime compliance to regulatory bodies and stakeholders. (continued)
12:12–13:06	Utilising technology for compliance monitoring, including software solutions and data analytics.
13:06–14:00	Responding to compliance breaches and incidents with corrective actions.

Day 5: Integrated Risk Management in Practice

Applied activity: Participants will work in teams to develop an integrated risk management strategy for a fictional organisation, addressing financial crime risks and compliance requirements. They will present their strategy to a panel for evaluation, focusing on practical applications and stakeholder impact.
Output: An integrated risk management strategy presentation with actionable steps.

09:00–09:54	Case studies of successful anti-financial crime strategies in various sectors.
09:54–10:48	Integrating risk management with overall business strategy for sustainability.
10:48–11:00	Collaboration between compliance, risk, and operational teams for effective management.
11:00–11:30	**Coffee break**
11:30–12:12	Collaboration between compliance, risk, and operational teams for effective management. (continued)
12:12–13:06	Future trends in financial crime and compliance, including digital currencies and cyber threats.



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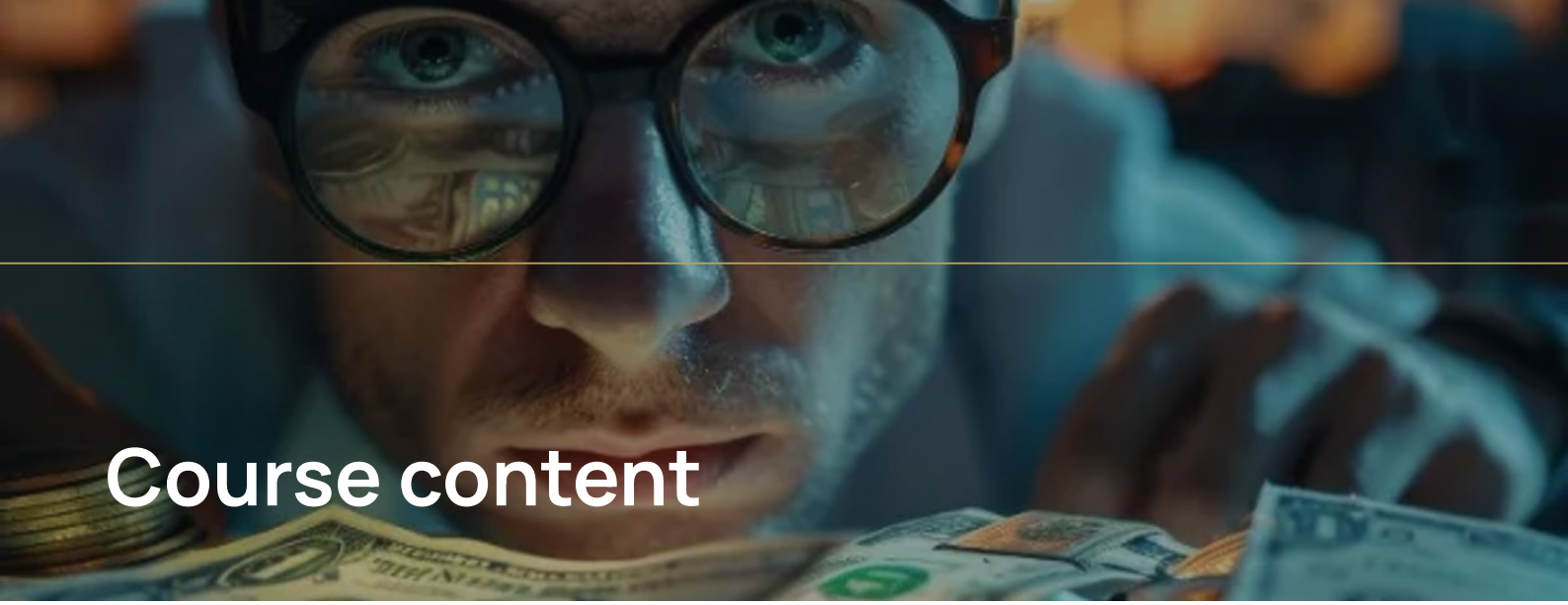
13:06–14:00	Developing a proactive compliance culture that anticipates risks and fosters accountability.

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.

Dates, venues and fees

Current published course plans. Fees are per participant in GBP, excluding unconfirmed taxes.

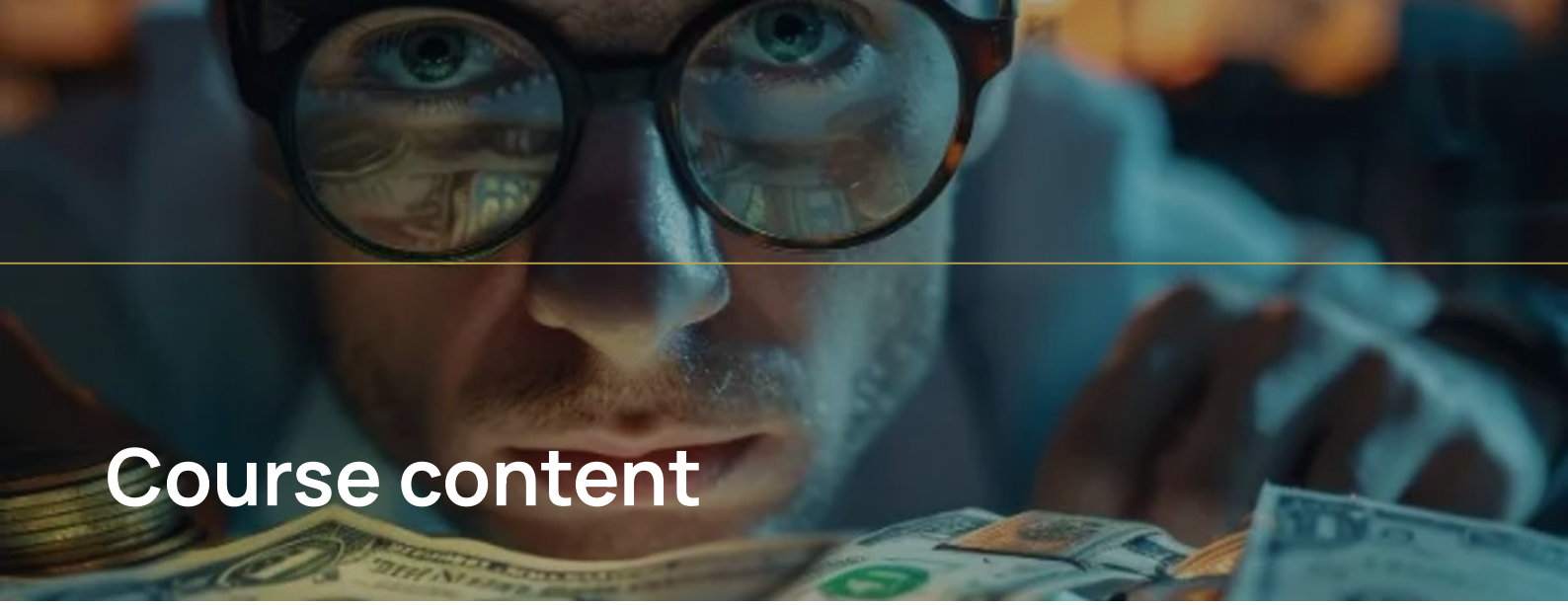
Venue	Start date	End date	Fee (GBP)
Istanbul Confirmed dates	16 Nov 2026	20 Nov 2026	**£2,650.–**
Online Confirmed dates	16 Nov 2026	20 Nov 2026	**£1,250.–**
Cape Town Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,890.–**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**£1,250.–**
Amsterdam Confirmed dates	7 Dec 2026	11 Dec 2026	**£2,540.–**
Online Confirmed dates	7 Dec 2026	11 Dec 2026	**£1,250.–**
Singapore Confirmed dates	14 Dec 2026	18 Dec 2026	**£2,820.–**



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Dates, venues and fees (continued)

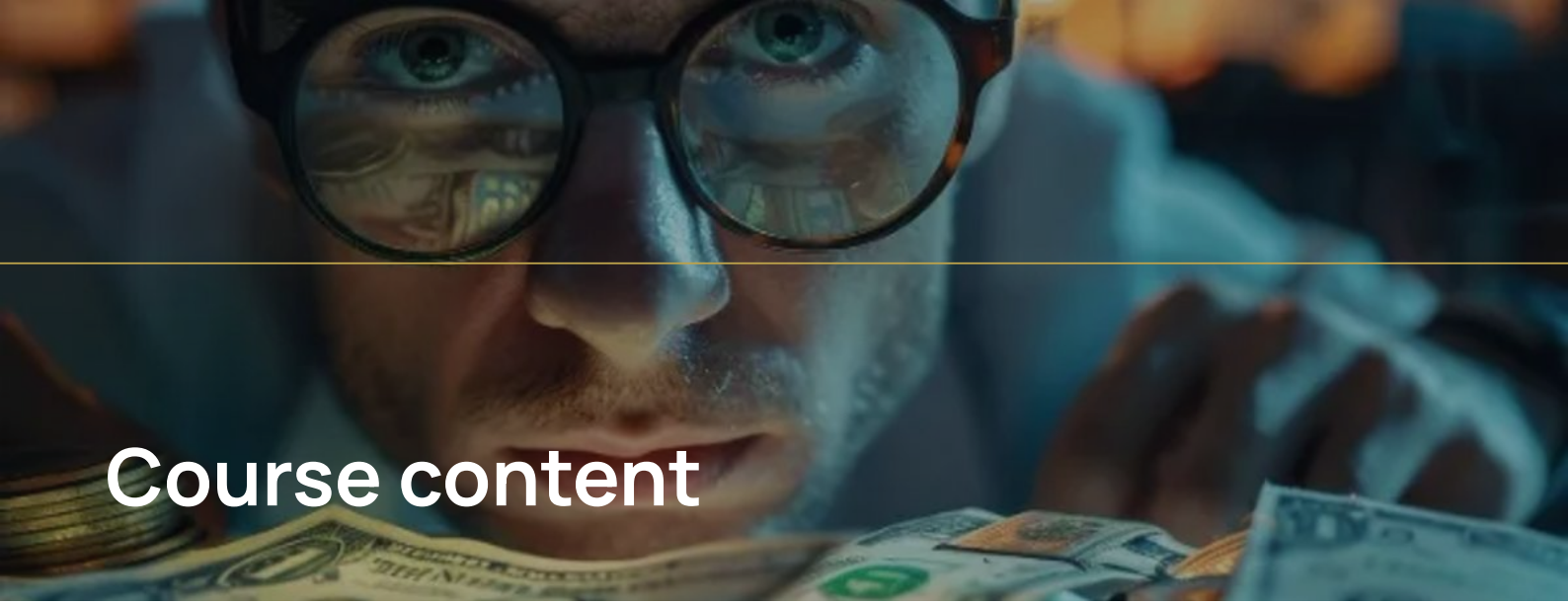
Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**£1,250.–**
London Confirmed dates	4 Jan 2027	8 Jan 2027	**£2,450.–**
Online Confirmed dates	4 Jan 2027	8 Jan 2027	**£1,250.–**
Dubai Confirmed dates	18 Jan 2027	22 Jan 2027	**£3,150.–**
Online Confirmed dates	18 Jan 2027	22 Jan 2027	**£1,250.–**
Frankfurt Confirmed dates	1 Feb 2027	5 Feb 2027	**£2,650.–**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**£1,250.–**
Rome Confirmed dates	8 Feb 2027	12 Feb 2027	**£2,450.–**
Online Confirmed dates	8 Feb 2027	12 Feb 2027	**£1,250.–**



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Dates, venues and fees (continued)

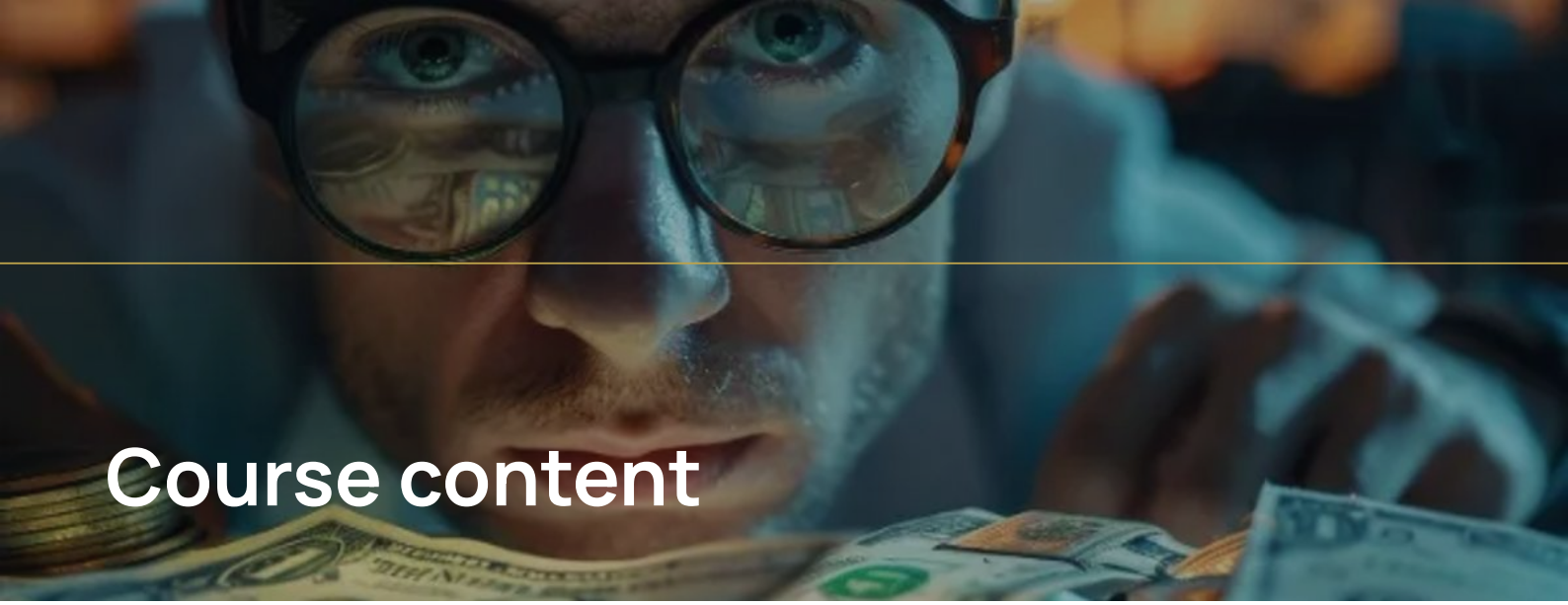
Venue	Start date	End date	Fee (GBP)
Kuala Lumpur Confirmed dates	15 Feb 2027	19 Feb 2027	**£1,450.-**
Online Confirmed dates	15 Feb 2027	19 Feb 2027	**£1,250.-**
Paris Confirmed dates	1 Mar 2027	5 Mar 2027	**£2,860.-**
Online Confirmed dates	1 Mar 2027	5 Mar 2027	**£1,250.-**
Istanbul Confirmed dates	15 Mar 2027	19 Mar 2027	**£2,650.-**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**£1,250.-**
Amsterdam Confirmed dates	5 Apr 2027	9 Apr 2027	**£2,540.-**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**£1,250.-**
Barcelona Confirmed dates	12 Apr 2027	16 Apr 2027	**£2,840.-**



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Dates, venues and fees (continued)

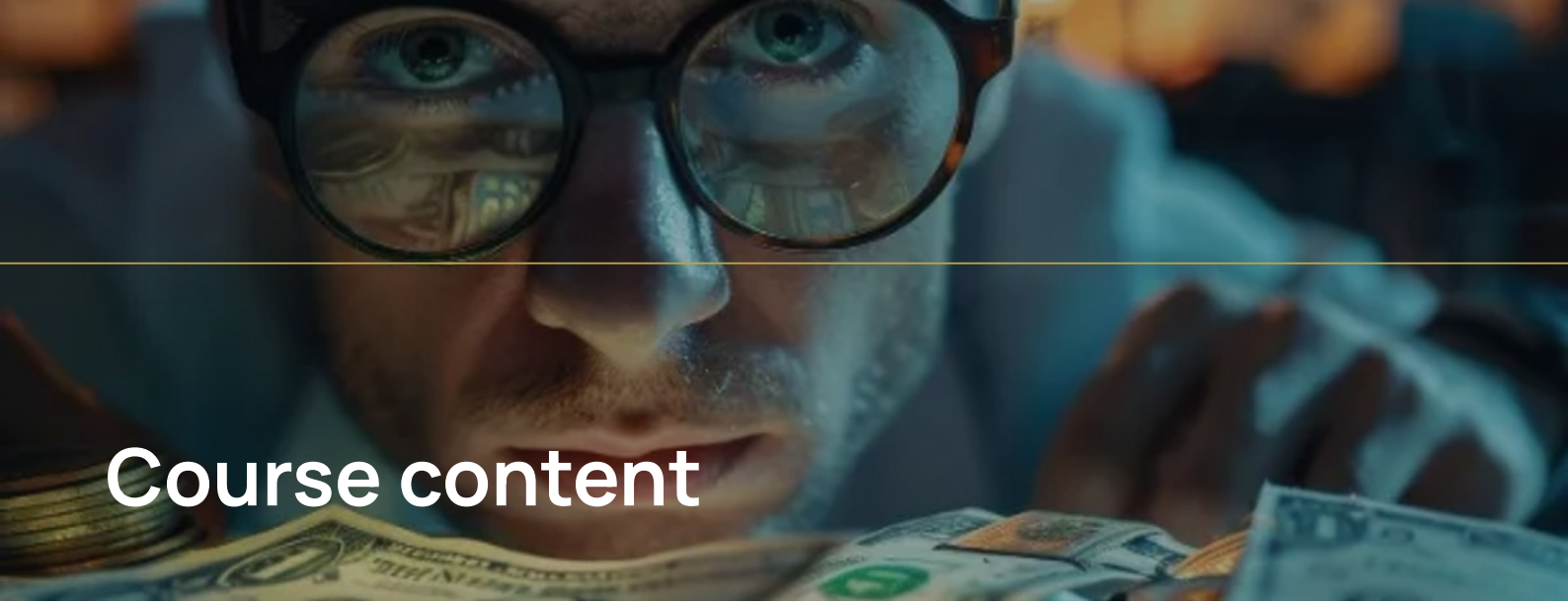
Venue	Start date	End date	Fee (GBP)
Online Confirmed dates	12 Apr 2027	16 Apr 2027	**£1,250.–**
Singapore Confirmed dates	19 Apr 2027	23 Apr 2027	**£2,820.–**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**£1,250.–**
London Confirmed dates	3 May 2027	7 May 2027	**£2,450.–**
Online Confirmed dates	3 May 2027	7 May 2027	**£1,250.–**
Dubai Confirmed dates	17 May 2027	21 May 2027	**£3,150.–**
Online Confirmed dates	17 May 2027	21 May 2027	**£1,250.–**
Frankfurt Confirmed dates	7 Jun 2027	11 Jun 2027	**£2,650.–**
Online Confirmed dates	7 Jun 2027	11 Jun 2027	**£1,250.–**



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Dates, venues and fees (continued)

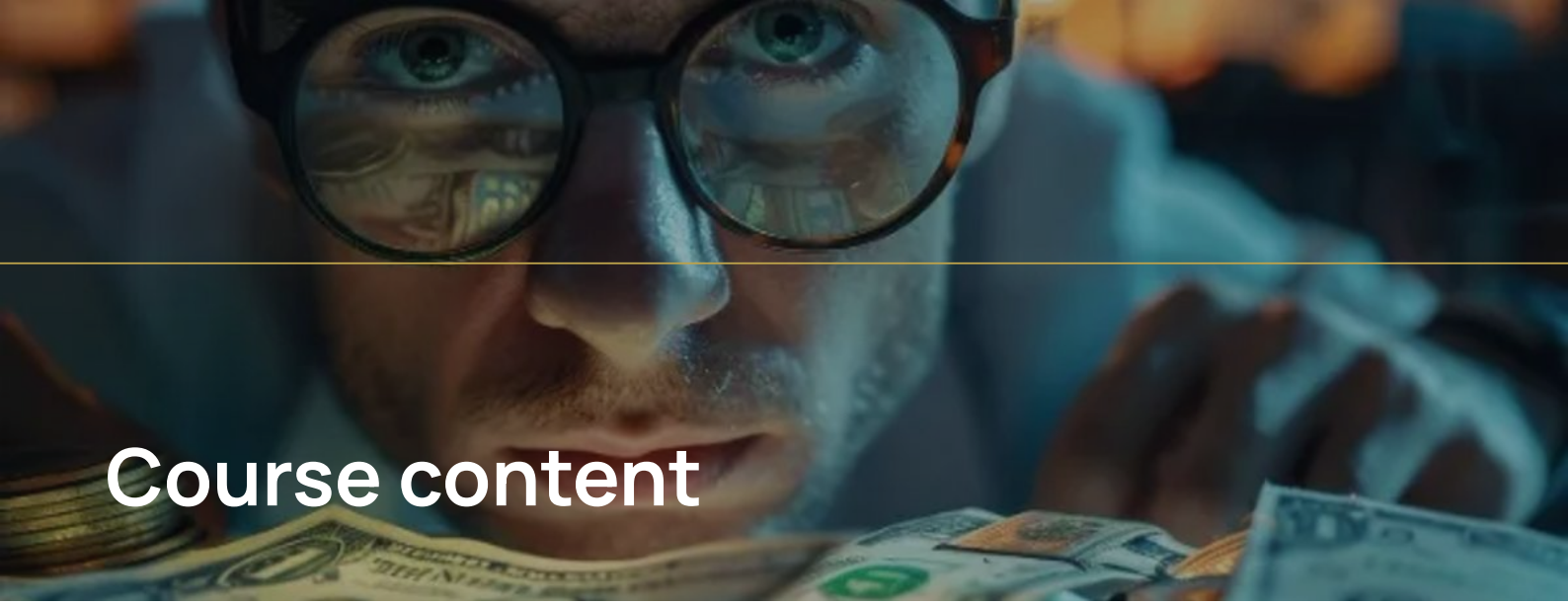
Venue	Start date	End date	Fee (GBP)
Cape Town Confirmed dates	14 Jun 2027	18 Jun 2027	**£1,890.–**
Online Confirmed dates	14 Jun 2027	18 Jun 2027	**£1,250.–**
Kuala Lumpur Confirmed dates	21 Jun 2027	25 Jun 2027	**£1,450.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**£1,250.–**
Paris Confirmed dates	5 Jul 2027	9 Jul 2027	**£2,860.–**
Online Confirmed dates	5 Jul 2027	9 Jul 2027	**£1,250.–**
Istanbul Confirmed dates	19 Jul 2027	23 Jul 2027	**£2,650.–**
Online Confirmed dates	19 Jul 2027	23 Jul 2027	**£1,250.–**
Amsterdam Confirmed dates	2 Aug 2027	6 Aug 2027	**£2,540.–**



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Dates, venues and fees (continued)

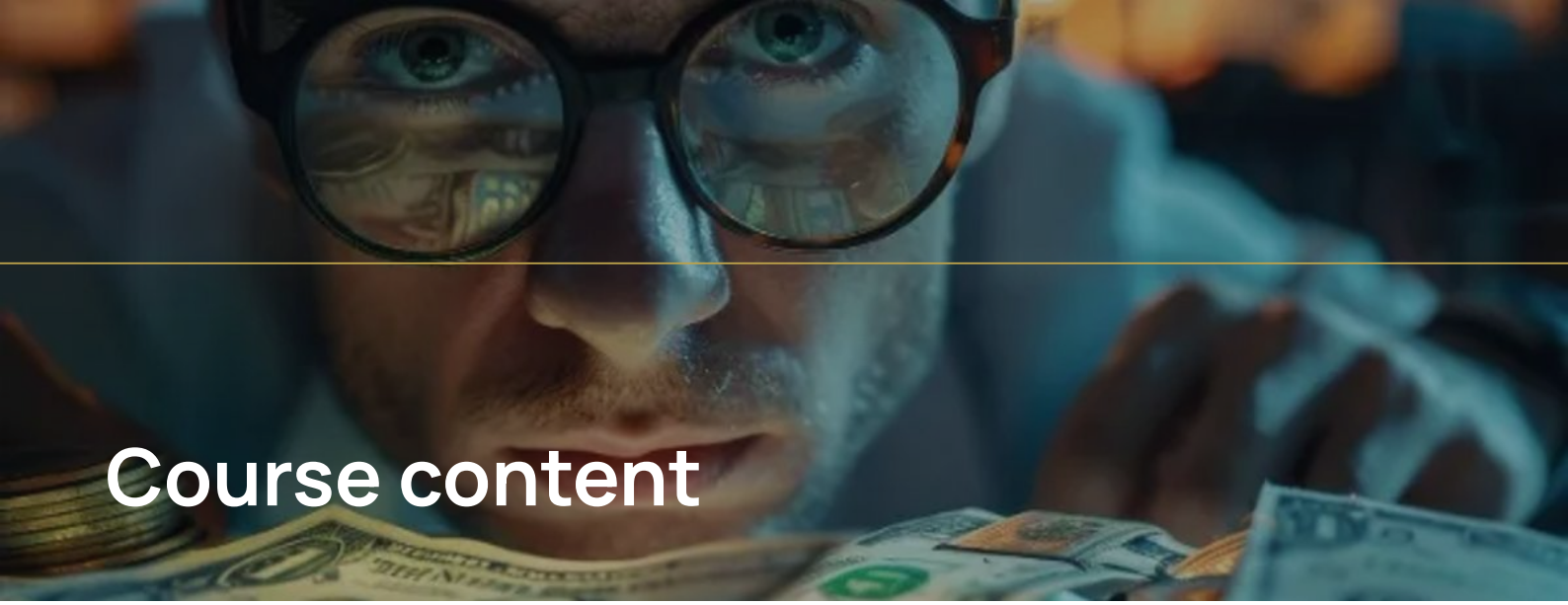
Venue	Start date	End date	Fee (GBP)
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Singapore Confirmed dates	16 Aug 2027	20 Aug 2027	**£2,820.-**
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**£1,250.-**
Rome Confirmed dates	23 Aug 2027	27 Aug 2027	**£2,450.-**
Online Confirmed dates	23 Aug 2027	27 Aug 2027	**£1,250.-**
London Confirmed dates	6 Sept 2027	10 Sept 2027	**£2,450.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**£1,250.-**
Dubai Confirmed dates	20 Sept 2027	24 Sept 2027	**£3,150.-**
Online Confirmed dates	20 Sept 2027	24 Sept 2027	**£1,250.-**



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Dates, venues and fees (continued)

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Frankfurt Confirmed dates	4 Oct 2027	8 Oct 2027	**£2,650.–**
Online Confirmed dates	4 Oct 2027	8 Oct 2027	**£1,250.–**
Kuala Lumpur Confirmed dates	18 Oct 2027	22 Oct 2027	**£1,450.–**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**£1,250.–**
Vienna Confirmed dates	25 Oct 2027	29 Oct 2027	**£2,940.–**
Online Confirmed dates	25 Oct 2027	29 Oct 2027	**£1,250.–**
Paris Confirmed dates	1 Nov 2027	5 Nov 2027	**£2,860.–**
Online Confirmed dates	1 Nov 2027	5 Nov 2027	**£1,250.–**
Istanbul Confirmed dates	15 Nov 2027	19 Nov 2027	**£2,650.–**



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Amsterdam Confirmed dates	6 Dec 2027	10 Dec 2027	**£2,540.-**
Online Confirmed dates	6 Dec 2027	10 Dec 2027	**£1,250.-**
Singapore Confirmed dates	13 Dec 2027	17 Dec 2027	**£2,820.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**£1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon UK certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

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